

EXHIBIT BB

**UNITED STATES BANKRUPTCY COURT
SOUTHERN DISTRICT OF NEW YORK**

SECURITIES INVESTOR PROTECTION
CORPORATION,

Plaintiff-Applicant,

v.

BERNARD L. MADOFF INVESTMENT
SECURITIES LLC,

Defendant.

Adv. Pro. No. 08-01789 (SMB)

SIPA LIQUIDATION

(Substantively Consolidated)

In re:

BERNARD L. MADOFF,

Debtor.

IRVING H. PICARD, Trustee for the Liquidation
of Bernard L. Madoff Investment Securities LLC,

Plaintiff,

v.

Adv. Pro. No. 10-04469 (SMB)

CAROL L. KAMENSTEIN, individually and in her
capacity as joint tenant,

DAVID R. KAMENSTEIN, individually and in his
capacity as joint tenant,

SLOAN G. KAMENSTEIN, and

TRACY D. KAMENSTEIN,

Defendants.

**EXPERT REPORT OF
LISA M. COLLURA, CPA, CFE, CFF**

**Proof of Transfers
To the Kamenstein Defendants**

June 14, 2019

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I. PROFESSIONAL BACKGROUND

1. I am a Senior Managing Director in the Forensic and Litigation Consulting practice of FTI Consulting, Inc. ("FTI"), with 25 years of experience in accounting, auditing and litigation consulting services. I specialize in providing forensic accounting and financial fraud investigative services in connection with internal investigations on behalf of trustees, boards of directors and audit committees of companies.
2. I have extensive experience in conducting large-scale, fact-finding investigations into fraudulent financial transactions, including tracing significant flows of funds between accounts and entities. During my career at FTI, I have assisted in the investigation of several of the largest fraud cases in the United States.
3. I am a Certified Public Accountant (CPA), a Certified Fraud Examiner (CFE), a member of the American Institute of Certified Public Accountants (AICPA), and am Certified in Financial Forensics (CFF) by the AICPA. My curriculum vitae, attached as **Exhibit 1** to this report, further describes my professional credentials, experience, and qualifications, including my testimony in the last four years.

II. SCOPE OF ASSIGNMENT

4. Bernard L. Madoff Investment Securities LLC ("BLMIS") was an investment firm owned and operated by Bernard L. Madoff ("Madoff"). On December 11, 2008, Madoff was arrested for violating multiple securities laws in connection with running a Ponzi scheme through the investment advisory business of BLMIS (the "IA Business"). On December 15, 2008, Irving H. Picard was appointed as the Trustee for the liquidation of the business of BLMIS, and Baker & Hostetler LLP was retained as his counsel. Shortly thereafter, FTI was retained by Baker & Hostetler LLP, on behalf of the Trustee, to analyze, among other things, the financial affairs of BLMIS and to assist the Trustee with the liquidation of BLMIS. As part of our engagement, FTI was tasked with the exercise of reconstructing the books and records of

BLMIS, including all records of the cash transactions related to the BLMIS IA Business customer accounts as far back as the records allow.

5. This report should be read in conjunction with my Expert Report regarding the Reconciliation of Cash Transactions for All BLMIS Customers and Analysis of IA Business Cash Activity dated January 16, 2019 (the “Collura January 2019 Report”).¹

6. For this report, I was specifically tasked with performing forensic analyses to determine the following:

- Whether the cash deposit and withdrawal transactions reflected on the customer statements for the Kamenstein Defendants’² customer accounts at BLMIS that are at issue in this matter (the “Kamenstein Accounts”³) reconciled to available documentation; and
- Whether, based on my review of available bank records, the cash withdrawals (*i.e.*, transfers from BLMIS) reflected on the customer statements for the Kamenstein Accounts during the period between and including December 11, 2006 and December 11, 2008 (the “Two Year Period”) could be traced to bank accounts held by, or for the benefit of, the Kamenstein Defendants.

7. For purposes of this report, I use the term “reconciled” to indicate when I have matched, agreed and/or determined consistency between cash deposits and withdrawals reflected on BLMIS customer statements to information or data per another source (*e.g.*, amounts on BLMIS bank records, correspondence between the customer and BLMIS regarding incoming deposits and/or requests for withdrawals, or documents produced to the Trustee by

¹ My findings and conclusions rendered in the Collura January 2019 Report, along with the accompanying Exhibits to that report are all incorporated by reference herein. All capitalized terms that are defined in the Collura January 2019 Report shall have the same meaning in this report.

² The defendants in this matter are Carol L. Kamenstein, David R. Kamenstein, Sloan G. Kamenstein, and Tracy D. Kamenstein (collectively, the “Kamenstein Defendants”).

³ The Kamenstein Defendants maintained the following BLMIS customer accounts: 1) 1CM596 under the name “TRACY D KAMENSTEIN;” 2) 1CM597 under the name “SLOAN G KAMENSTEIN;” 3) 1CM913 under the name “DAVID R KAMENSTEIN;” and 4) 1CM914 under the name “CAROL KAMENSTEIN” (collectively, the “Kamenstein Accounts”). BLMIS customer account 1CM247 under the name “DAVID R KAMENSTEIN & CAROL KAMENSTEIN J/T WROS” is also at issue in this matter, but has been excluded from this report as there were no cash withdrawals from this account within the Two Year Period as defined below.

the Kamenstein Defendants). For purposes of this report, I use the term “traced” to indicate when I have followed the flow of funds from one bank account (*e.g.*, BLMIS’s bank account) to another bank account (*e.g.*, the Kamenstein Defendants’ bank account).

8. This report has been prepared in connection with the above-captioned litigation and is to be used only for the specific purposes of this lawsuit. It is not to be used for any other purpose without the express written consent of FTI. If called upon to testify in this matter, I intend to provide testimony regarding my analyses and conclusions consistent with this report.

9. FTI is being compensated at a rate of \$670 per hour for my professional time incurred in performing the work necessary to prepare this report. FTI’s fees are not contingent on the conclusions reached in this report or the outcome of the above-captioned litigation.

III. METHODOLOGY

10. To determine whether the cash transactions reflected on the customer statements for the Kamenstein Accounts reconciled to available documentation, I used the results of the forensic analysis of the available BLMIS bank records as described in the Collura January 2019 Report. In addition, I reviewed and analyzed other documents and records maintained at BLMIS, including documents contained in BLMIS customer files, as well as documents produced to the Trustee by the Kamenstein Defendants. Based on my review and analysis of these materials, I identified the cash transactions related to the Kamenstein Accounts that reconciled to these documents.

11. Next, to determine whether the cash withdrawals reflected on the customer statements for the Kamenstein Accounts during the Two Year Period could be traced to bank accounts held by, or for the benefit of, the Kamenstein Defendants, I again used the available information from BLMIS bank records. I also reviewed records produced to the Trustee by a third-party financial institution, Wells Fargo Bank, related to bank accounts held by the Kamenstein Defendants. In addition, I reviewed correspondence produced to the Trustee by the Kamenstein Defendants regarding the cash withdrawals from the Kamenstein Accounts. Using these available records, I identified the recipients of the transfers from BLMIS.

12. The documents and data that I considered in connection with this report are listed in **Exhibit 2**. I reserve the right to supplement my report based on any additional documents or information received.

IV. SUMMARY OF FINDINGS

13. Based on the forensic analyses performed, as described above and throughout this report, as well as my skills, knowledge, experience, education and training that I applied to the documents and information available to me as of the date of this report, my findings are summarized as follows:

- For my reconciliation analysis, I analyzed the cash transactions in the Kamenstein Accounts from September 1999 to December 2008. During this time period, the customer statements for the Kamenstein Accounts reflected 206 cash deposit and withdrawal transactions. I reconciled 100% of the 206 cash transactions reflected on the customer statements for the Kamenstein Accounts to available BLMIS bank records, documentation contained in BLMIS customer files, and/or documents produced to the Trustee by the Kamenstein Defendants. In addition, based on my review of documents contained in the customer files maintained at BLMIS for the Kamenstein Accounts, I have not found any instance of the Kamenstein Defendants communicating to BLMIS any disagreement with respect to the accuracy of any cash transaction reflected on the customer statements for the Kamenstein Accounts.
- For my tracing analysis, I analyzed the cash withdrawals from the Kamenstein Accounts during the Two Year Period, totaling \$2,987,100. Based on available bank records from BLMIS, bank records produced to the Trustee by Wells Fargo Bank, and correspondence produced to the Trustee by the Kamenstein Defendants related to the cash withdrawals from the Kamenstein Accounts, I conclude that \$2,876,300 of the total amount of cash withdrawals reflected on the customer statements for the Kamenstein Accounts during the Two Year Period went to a bank account held by defendants

Carol L. Kamenstein and David R. Kamenstein and \$30,000 went to a bank account held by defendant Sloan G. Kamenstein.

V. RECONCILIATION OF CASH TRANSACTIONS FOR THE KAMENSTEIN ACCOUNTS

A. OVERVIEW

14. The chronological listings of all cash and principal transactions for every BLMIS customer account compiled by FTI, as described in the Principal Balance Calculation Report, included the cash transactions for the Kamenstein Accounts. From September 1999 to December 2008, the customer statements for the Kamenstein Accounts reflected 206 cash transactions, which consisted of 19 cash deposits into the Kamenstein Accounts totaling \$3,268,272 and 187 cash withdrawals from the Kamenstein Accounts totaling \$12,429,233. I was tasked with reconciling these 206 cash transactions to available BLMIS bank records, documentation contained in BLMIS customer files, and/or documents produced to the Trustee by the Kamenstein Defendants. *See Exhibit 3* for a list of these cash deposit and withdrawal transactions; *see also Exhibit 6* – “Reconciliation and Tracing Results – Kamenstein Accounts.”

B. BLMIS BANK ACCOUNTS

15. All 206 cash transactions reflected on the customer statements for the Kamenstein Accounts occurred in the ten-year period for which there were available bank records for the three BLMIS bank accounts described in the Collura January 2019 Report. I reconciled all 206 cash transactions reflected on the customer statements for the Kamenstein Accounts during the period December 1998 to December 2008 to available BLMIS bank records, including monthly bank statements, copies of deposited checks and deposit slips, and copies of cancelled checks. The 206 cash transactions were reconciled to the BLMIS bank accounts as follows:

- 703 Account – 21 transactions (14 deposits via wire transfer, five deposits via check, and two withdrawals via wire transfer)
- 509 Account – 185 transactions (withdrawals via check)

C. BLMIS CUSTOMER FILES

16. In addition to reconciling the cash deposit and withdrawal transactions for the Kamenstein Accounts to the available BLMIS bank records as described above, I also reviewed customer files from BLMIS's records to identify correspondence related to the cash transactions reflected on the customer statements for the Kamenstein Accounts.

17. Customer files related to customer accounts were maintained in BLMIS's records and were generally organized by BLMIS account number. These customer files contained documents including, but not limited to, correspondence between the customer and BLMIS employees regarding incoming deposits and/or requests for withdrawals, customer contact information, BLMIS customer agreements, as well as trust and other agreements.

18. As part of my analysis, I identified the customer files for the Kamenstein Accounts within BLMIS's records. I reviewed the documents contained in these customer files to identify correspondence that related to the cash transactions reflected on the customer statements for the Kamenstein Accounts.⁴ I identified letters and/or other correspondence in these customer files that support 189 of the 206 cash transactions in the Kamenstein Accounts.⁵ One of these letters, which is a request for cash withdrawals from the Kamenstein Accounts, is attached as **Exhibit 4** and is described further below:

- In a letter dated December 1, 2008, defendant David R. Kamenstein states, "Please take the following amounts from our accounts and Federal Express them all to us. . . .

| | | |
|------------------|--------------------|-------------------|
| David Kamenstein | Acct # 1-CM913-3 | \$31,300 |
| Carol Kamenstein | Acct # 1-CM914-3 | \$31,300 |
| Tracy Kamenstein | Acct # 1-CM596-3-0 | \$22,200 |
| Sloan Kamenstein | Acct # 1-CM597-3-0 | \$13,300" |

⁴ I also reviewed the customer file for BLMIS customer account 1CM247 under the name "DAVID R KAMENSTEIN & CAROL KAMENSTEIN J/T WROS." As noted above, this account has been excluded from my report as it did not contain any cash withdrawal transactions during the Two Year Period.

⁵ The remaining 17 transactions for which I was unable to identify supporting documentation in the BLMIS customer files were all cash deposit transactions. I identified a document to support each of the cash withdrawal transactions reflected on the customer statements for the Kamenstein Accounts. See **Exhibit 3**.

19. Furthermore, based on my review of the documents contained in the customer files for the Kamenstein Accounts, I have not found any instance of the Kamenstein Defendants communicating to BLMIS any disagreement with respect to the accuracy of any cash transaction reflected on the customer statements for the Kamenstein Accounts.

D. DOCUMENTS PRODUCED TO THE TRUSTEE BY THE KAMENSTEIN DEFENDANTS

20. As of the date of this report, the Kamenstein Defendants have produced to the Trustee documents consisting of, among other things, correspondence relating to the cash transactions in the Kamenstein Accounts. I reconciled 175 of the 206 cash transactions reflected on the customer statements for the Kamenstein Accounts to these documents produced to the Trustee in this matter.

E. RESULTS OF RECONCILIATION

21. In total, based on my analyses described above, I reconciled 100% of the 206 cash transactions reflected on the customer statements for the Kamenstein Accounts to available BLMIS bank records, documentation contained in BLMIS customer files, and/or documents produced to the Trustee by the Kamenstein Defendants. **Exhibit 3**, which lists each of the 206 cash transactions for the Kamenstein Accounts, contains three columns that indicate the results of my reconciliation to each of these sources of information.

22. In addition, as noted above, I have not found any instance of the Kamenstein Defendants communicating to BLMIS any disagreement with respect to the accuracy of any cash transaction reflected on the customer statements for the Kamenstein Accounts.

VI. TRACING CASH WITHDRAWALS FROM THE KAMENSTEIN ACCOUNTS

A. OVERVIEW

23. The available BLMIS bank records, as described in the Collura January 2019 Report, were also used to determine whether I could trace the funds that left BLMIS's bank accounts to

accounts held by, or for the benefit of, the Kamenstein Defendants. To determine this, I performed a "Receiving Bank" analysis, which traces transfers from BLMIS's bank accounts to bank accounts that received funds from BLMIS.

24. During the Two Year Period, the customer statements for the Kamenstein Accounts reflected 84 cash withdrawal transactions totaling \$2,987,100. These cash withdrawal transactions were in the form of checks written from the 509 Account.

25. Tracing withdrawals via check requires a legible copy of a cancelled check. The necessary information to trace the amount to a receiving bank account, including endorser, banking institution and bank account number, is typically included on the back of a cancelled check. Oftentimes, however, not all of this information was available on the copy of the cancelled check written from the 509 Account and/or the available information was often illegible. In any event, when possible, I identified and captured all available and legible information from the cancelled checks written from the 509 Account related to withdrawals from the Kamenstein Accounts.

26. In addition, I reviewed records produced to the Trustee by Wells Fargo Bank related to bank accounts held by the Kamenstein Defendants. I also reviewed correspondence produced to the Trustee by the Kamenstein Defendants related to cash withdrawals from the Kamenstein Accounts.

B. RESULTS OF TRACING

27. The back of the cancelled checks from BLMIS bank records related to the cash withdrawals from the Kamenstein Accounts during the Two Year Period identify Wachovia NA (which later became Wells Fargo Bank) as the receiving bank and were stamped "FOR DEPOSIT ONLY," but did not include an account number or account holder of the bank account in receipt of funds from BLMIS. However, correspondence produced to the Trustee by the Kamenstein Defendants included instructions from defendant David R. Kamenstein to the Kamenstein Defendants' bookkeeper regarding the checks received from BLMIS. I reviewed

this correspondence, along with bank records produced to the Trustee by Wells Fargo Bank, to identify the bank account(s) that received the funds from BLMIS.

28. **Exhibit 6** identifies the instructions from the available correspondence from David R. Kamenstein, as described above, for each of the cash withdrawals from the Kamenstein Accounts during the Two Year period. Correspondence with instructions was available for all but four of the cash withdrawals from the Kamenstein Accounts during the Two Year Period.⁶ As shown on **Exhibit 6**, the correspondence related to the remaining cash withdrawals from the Kamenstein Accounts during the Two Year Period instruct that all or a portion of the cash withdrawals be put into "the CKDK CAP account." Based on documents included in BLMIS customer files, the "CKDK CAP account" is account #xxxxxx5822 at First Union Bank (which merged with Wachovia in 2001) held by defendants David and Carol Kamenstein.⁷ Further, correspondence produced by the Kamenstein Defendants related to the four cash withdrawals from the Kamenstein Accounts dated 12/6/2006 (just prior to the Two Year Period) also instructs to "[p]ut all in the CKDK CAP account," and the cancelled checks from BLMIS records related to these cash withdrawals are endorsed "FOR DEPOSIT ONLY" to account #xxxxxx5822.⁸ I have therefore concluded that when the instructions are to put funds from BLMIS into the CKDK CAP account, the BLMIS funds were deposited into an account held by defendants Carol L. Kamenstein and David R. Kamenstein with account number #xxxxxx5822.⁹

29. **Exhibit 6** also shows that the correspondence related to the four cash withdrawals from the Kamenstein Accounts dated 6/3/2008 includes instructions to deposit \$15,000 to defendant Sloan G. Kamenstein's checking account, and the rest of the funds from BLMIS should be deposited into the CKDK CAP account.¹⁰ I have confirmed that a deposit of \$15,000 was made into account #xxxxxxxx8305 held by defendant Sloan G. Kamenstein on 6/4/2008

⁶ Instructions for the four cash withdrawals from the Kamenstein Accounts dated 7/2/2008, totaling \$80,800, were not available in the documents produced to the Trustee by the Kamenstein Defendants.

⁷ See AMF00250579 – 80.

⁸ See 10-04469_Kamenstein_0000205 – 06; MADWAA00206530 – 33; MADWAA00206536 – 39.

⁹ As of the date of this report, bank records related to the CKDK CAP account (#xxxxxx5822) were not available for my review.

¹⁰ See 10-04469_Kamenstein_0000141 – 42.

based on the bank records produced to the Trustee by Wells Fargo Bank.¹¹ Similarly, the correspondence related to the four cash withdrawals from the Kamenstein Accounts dated 9/5/2008 include instructions to deposit \$15,000 to defendant Sloan G. Kamenstein's checking account, and the rest of the funds from BLMIS should be deposited into the CKDK CAP account.¹² I have confirmed that a deposit of \$15,000 was made into account #xxxxxxxx8305 held by defendant Sloan G. Kamenstein on 9/8/2008 based on the bank records produced to the Trustee by Wells Fargo Bank.¹³

30. **Exhibit 5** summarizes the cash withdrawals from the Kamenstein Accounts during the Two Year Period by BLMIS customer account, as well as the results of my analysis described above. Based on available bank records from BLMIS, bank records produced to the Trustee by Wells Fargo Bank, and correspondence related to the cash withdrawals from the Kamenstein Accounts produced to the Trustee by the Kamenstein Defendants, I conclude that \$2,876,300 of the total amount of cash withdrawals reflected on the customer statements for the Kamenstein Accounts during the Two Year Period went to a bank account held by defendants Carol L. Kamenstein and David R. Kamenstein and \$30,000 went to a bank account held by defendant Sloan G. Kamenstein.¹⁴

¹¹ See 10-04469_WFB_0001289. The holder of this account is shown as "SLOAN KAMENSTEIN DAVID, CAROL AND TRACY KAMENSTEIN."

¹² See 10-04469_Kamenstein_0000158 – 59.

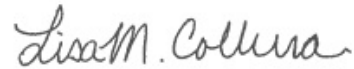
¹³ See 10-04469_WFB_0001299. The holder of this account is shown as "SLOAN KAMENSTEIN DAVID, CAROL AND TRACY KAMENSTEIN."

¹⁴ The remaining \$80,800 relates to four cash withdrawals from the Kamenstein Accounts dated 7/2/2008 for which correspondence was not available in the documents produced to the Trustee by the Kamenstein Defendants. The cancelled checks from BLMIS bank records related to these four cash withdrawals were stamped "FOR DEPOSIT ONLY," and no account number or account holder was identified.

VII. SIGNATURE AND RIGHT TO MODIFY

31. This report and the exhibits contained herein present my findings and the bases thereof. To the extent that any additional information is produced by any party, I reserve the right to incorporate such additional information into my report or to modify my report as necessary.

By:

A handwritten signature in cursive script that reads "Lisa M. Collura".

Lisa M. Collura, CPA, CFE, CFF
June 14, 2019

VIII. LIST OF EXHIBITS

Exhibit 1: Curriculum Vitae

Exhibit 2: Documents Considered

Exhibit 3: List of All Cash Transactions in the Kamenstein Accounts

Exhibit 4: Letter from BLMIS Customer Files for the Kamenstein Accounts

Exhibit 5: Results of Receiving Bank Analysis – Kamenstein Accounts (*During the Two Year Period*)

Exhibit 6: Reconciliation and Tracing Results – Kamenstein Accounts

EXHIBIT 1

Lisa M. Collura, CPA, CFE, CFF

Senior Managing Director — Forensic and Litigation Consulting

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FTI Consulting

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11th Floor
New York, NY 10036
Tel: 212 247 1010
Fax: 212 841 9350

Education

B.S. in Business
Administration, John
Carroll University

Certifications

Certified Public
Accountant, New York

Certified Fraud Examiner

Certified in Financial
Forensics

Professional Affiliations

American Institute of
Certified Public
Accountants

New York State Society of
Certified Public
Accountants

Association of Certified
Fraud Examiners

Lisa Collura is a senior managing director in the FTI Consulting Forensic and Litigation Consulting practice and is based in New York. Ms. Collura has 25 years of experience in accounting, auditing and litigation consulting services, including forensic accounting and fraud investigations. Ms. Collura specializes in providing complex forensic accounting and financial fraud investigative services in connection with SEC investigations and internal investigations on behalf of Trustees, Boards of Directors, Audit Committees and creditors of both public and private companies. She also has experience in matters involving technical accounting and auditing issues, accounting malpractice and bankruptcy related litigation.

Among her areas of professional expertise, Ms. Collura manages and directs large-scale financial fraud investigations. Ms. Collura's forensic accounting and financial investigation engagements include fact-finding and examinations into matters involving alleged Ponzi schemes, fraudulent financial reporting and the misapplication of GAAP. Her engagements have also involved tracing significant flows of funds among accounts and related entities. Ms. Collura has experience in conducting internal investigations on behalf of Audit Committees in connection with restatements of financial results, as well as assisting in the investigation of several of the largest fraud cases in the United States. In connection with one of those investigations, Ms. Collura testified as a witness in the United States District Court in the Southern District of New York.

Prior to joining FTI Consulting in 2001, Ms. Collura was the assistant corporate controller for an information technology services company in Denver, Colorado. Ms. Collura was responsible for SEC reporting requirements and all internal financial reporting. She conducted due diligence procedures and managed the subsequent accounting for several company acquisitions and acted as the direct liaison with the outside auditors. Ms. Collura began her career as a senior auditor for Deloitte & Touche.

Professional Experience

Forensic Accounting and Financial Investigation Experience

- Provides investigative services and litigation support to the court-appointed trustee for the liquidation of Bernard L. Madoff Investment Securities and his counsel. Engagement assistance to date has included the day-to-day direction and supervision of teams in areas including forensic investigation, data analysis and litigation consulting.
- Conducted a large-scale fact-finding investigation into one of largest fraud cases in U.S. history. The investigation, performed on behalf of the debtors and the creditors' committee of a multi-billion dollar financial services company, involved tracing the roots of related party receivables and other substantial related party transactions, analyzing year-end and quarter-end transactions, performing fact finding surrounding the role of third-parties involved in the accounting and financial reporting for the company, and other analyses as requested by the United States Department of Justice and the Securities and Exchange Commission.



- Conducted an independent internal investigation into the facts and circumstances surrounding the defalcation and embezzlement by a former employee of an insurance company. As part of the investigation, a review of the company's internal control procedures was performed. A written investigative report, including observations and recommendations surrounding the lack of internal controls, was delivered to the Audit Committee at the conclusion of investigation.
- Assisted counsel to the Audit Committee of a company in the advertising industry with financial, accounting and investigative aspects of an internal investigation of more than 40 agencies of the company. The engagement involved understanding the financial statement review process, including the restatement process in order to assist counsel in reporting to the Audit Committee. Subsequently, the project was expanded to serve as the independent monitor of the company's remediation plan.
- Directed an independent Audit Committee investigation of a publicly traded heavy equipment manufacturer pursuant to a formal inquiry by the SEC with respect to improper revenue recognition, purchase accounting, recording of restructuring reserves, accounts receivable reserves, inventory obsolescence, and specific customer related issues. Performed site visits and test work at several domestic and international locations and summarized the findings of the investigation in a presentation given to the Audit Committee and the SEC.
- Performed forensic accounting services on behalf of five major law firms to defend a lawsuit against six major banks and financial institutions in one of the largest fraud cases in the United States. Services involved tracing significant flows of funds between accounts and related entities and investigating the impact of the accounting for the underlying transactions on the company's financial statements.
- Assisted counsel in performing due diligence procedures related to a monoline insurance company. Procedures involved identifying and analyzing related party transactions and searching for undisclosed liabilities.

Expert Witness and Litigation Support

- Assisted counsel in the representation of a company in a derivative lawsuit that has been filed against three members of senior management, including the CEO. The lawsuit alleged the three senior management professionals conspired to pay compensation to the CEO in amounts that were in excess of those amounts the company was contractually obligated to pay. Services included recalculation of compensation amounts and tracing payments to senior management and related parties.
- Assisted counsel with responding to a potential Wells Notice from the SEC in connection with the audit of a company in the financial services industry. The SEC ultimately proceeded with enforcement actions against two audit partners of one of the major accounting firms related to three separate accounting issues. Services included conducting the necessary research on the related GAAP and GAAS issues and providing accounting and auditing consultation and guidance to counsel.
- Provided expert witness services, including assisting with the preparation of an expert report related to a well-publicized accounting fraud. The case involved a litigation against certain underwriters related to allegations of failure to perform reasonable due diligence in connection with debt offerings of one of the largest telecommunications companies in the United States.

- Provided litigation consulting and expert witness services, including expert report preparation and deposition and trial preparation for a multi-billion dollar accounting malpractice case filed by a lender against one of the major accounting firms. The case involved review and analysis of several years of audit work papers as well as research and analysis of related GAAP and GAAS issues.
- Provided litigation consulting services to the Internal Revenue Service in connection with a dispute over the value of research credits claimed by the plaintiff in the case. Services consisted of expert report preparation and trial testimony preparation. Services also involved reviewing and analyzing related cost accounting issues.

Testimony

United States of America v. Tone N. Grant, Forensic Accounting Summary Witness, United States District Court, Southern District of New York (2008)

Marc S. Kirschner, as Trustee of the Refco Litigation Trust v. Thomas H. Lee Partners, L.P., et al., Expert Deposition Testimony, United States District Court, Southern District of New York (2010)

Marc S. Kirschner, as Trustee of the Refco Private Actions Trust v. Phillip R. Bennett, et al., Expert Deposition Testimony, United States District Court, Southern District of New York (2012)

Madoff Securities International Limited v. Stephen Ernest John Raven, et al., Trial Testimony on behalf of Claimant in High Court of Justice, Queen's Bench Division, Commercial Court, London, England (2013)

Irving H. Picard, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC v. J. Ezra Merkin, et al., Expert Deposition Testimony, United States Bankruptcy Court, Southern District of New York (2015)

Irving H. Picard, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC v. Andrew H. Cohen, Expert Trial Testimony, United States Bankruptcy Court, Southern District of New York (2015)

Irving H. Picard, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC v. Edward A. Zraick, Jr., et al., Expert Deposition Testimony, United States Bankruptcy Court, Southern District of New York (2017)

Securities Investor Protection Corporation v. Bernard L. Madoff Investment Securities LLC, Expert Testimony at Evidentiary Hearing on Profit Withdrawal Transactions, United States Bankruptcy Court, Southern District of New York (2018)

Irving H. Picard, Trustee for the Liquidation of Bernard L. Madoff Investment Securities LLC v. Carol Nelson, Individually and Carol and Stanley Nelson, Expert Trial Testimony, United States Bankruptcy Court, Southern District of New York (2019)

Training and Presentations

- Develop and instruct continuing professional education for FTI Consulting
- Frequently present "An Overview of FTI Consulting and Forensic Accounting" to students at Fordham University in the Bronx, New York and Baruch College in New York, New York
- Taught an Intermediate Accounting course at the Community College of Denver

EXHIBIT 2

Documents Considered

EXHIBIT 2

| Bates Begin | Bates End | Bates Begin | Bates End |
|-----------------------------|-----------------------------|---------------|---------------|
| 08-01789_BOACAC0000001 | 08-01789_BOACAC0001533 | JPMSAF0039543 | JPMSAF0039546 |
| 08-01789_BODCAA0000001 | 08-01789_BODCAA0001997 | JPMSAF0039925 | JPMSAF0039925 |
| 08-01789_CATCAA0000001 | 08-01789_CATCAA0000117 | JPMSAF0040120 | JPMSAF0040121 |
| 08-01789_CRJCAA0000001 | 08-01789_CRJCAA0002108 | JPMSAF0040124 | JPMSAF0040125 |
| 08-01789_MABCAB0000001 | 08-01789_MABCAB00000840 | JPMSAF0040464 | JPMSAF0040464 |
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| 08-01789_MABCAD0000001 | 08-01789_MABCAD00000792 | JPMSAF0041687 | JPMSAF0041688 |
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| AMF00278539 | AMF00278651 | JPMSAF0049828 | JPMSAF0049829 |
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Documents Considered

EXHIBIT 2

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Documents Considered

EXHIBIT 2

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Documents Considered**EXHIBIT 2**

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| MDPTPP01932250 | MDPTPP01932254 | MWPTAP00054083 | MWPTAP00054139 |
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| MWPTAP00053880 | MWPTAP00053935 | | |

Checkbook File - Available Upon Request

First Union is Now Wells Fargo, WELLS FARGO, <https://www.wellsfargo.com/about/corporate/firstunion> (last visited June 10, 2019)

Defendants' First Set of Requests for Production of Documents and Interrogatories [sic] to the Trustee [Omnibus]

Trustee Irving H. Picard's Responses and Objections to Defendants' First Set of Requests for Production of Documents and Interrogatories to the Trustee [Omnibus]

Defendants' Second Set of Requests for Production of Documents to the Trustee [Omnibus]

Trustee Irving H. Picard's Responses and Objections to Defendants' Second Set of Requests for Production of Documents to the Trustee [Omnibus]

Initial Disclosures [of defendants Carol L. Kamenstein, individually and in her capacity as joint tenant, David R. Kamenstein, individually and in his capacity as joint tenant, Sloan G. Kamenstein, and Tracy D. Kamenstein]

Plaintiff's Initial Disclosures

Plaintiff's Amended Initial Disclosures

Responses and Objections of Defendants Carol L. Kamenstein, David R. Kamenstein, Sloan G. Kamenstein, and Tracy D. Kamenstein to Trustee's First Set of Requests for Production of Documents

Responses and Objections of Defendants Carol L. Kamenstein, David R. Kamenstein, Sloan G. Kamenstein, and Tracy D. Kamenstein to Trustee's First Set of Interrogatories

Transcript of and Exhibits to Deposition of Annette Bongiorno for Adv. No. 08-01789 (SMB), dated May 22, 2019

Transcript of and Exhibits to Deposition of Bernard L. Madoff for Adv. No. 08-01789 (SMB), dated December 20, 2016

Transcript of and Exhibits to Deposition of Bernard L. Madoff for Adv. No. 08-01789 (SMB), dated April 26, 2017

Transcript of and Exhibits to Deposition of Bernard L. Madoff for Adv. No. 08-01789 (SMB), dated April 27, 2017

Transcript of and Exhibits to Deposition of Bernard L. Madoff for Adv. No. 08-01789 (SMB), dated November 8, 2017

Transcript of and Exhibits to Deposition of Bernard L. Madoff for Adv. No. 08-01789 (SMB), dated November 9, 2017

Transcript of and Exhibits to Deposition of Daniel Bonventre for Adv. No. 08-01789 (SMB), dated May 21, 2019

Transcript of and Exhibits to Deposition of Joann Crupi for Adv. No. 08-01789 (SMB), dated May 23, 2019

Transcript of and Exhibits to Deposition of Joann Sala for Adv. No. 08-01789 (SMB), dated April 8, 2019

Transcript of and Exhibits to Deposition of Enrica Cotellessa-Pitz for Adv. No. 08-01789 (SMB), dated April 9, 2019

Transcript of and Exhibits to Deposition of FBI Agent, Theodore Cacioppi, for Adv. No. 08-01789 (SMB), dated May 15, 2019

Transcript of and Exhibits to Deposition of Carol Kamenstein for Adv. No. 10-04469 (SMB), dated February 22, 2017

Transcript of and Exhibits to Deposition of David Kamenstein for Adv. No. 10-04469 (SMB), dated February 22, 2017

Transcript of and Exhibits to Deposition of Sloan Kamenstein for Adv. No. 10-04469 (SMB), dated February 22, 2017

Transcript of and Exhibits to Deposition of Tracy Kamenstein for Adv. No. 10-04469 (SMB), dated February 22, 2017

EXHIBIT 3

List of All Cash Transactions in the Kamenstein Accounts

| | | | | | | | Reconciliation Results ^[4] | | | | |
|----------------------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------------|--|---------------------------------------|----------------------|-----------------------------------|--|---|
| CM ID ^[1] | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[2] | Amount ^[2] | Transaction Type ^[2] | Transaction Description ^[2] | BLMIS Bank Records ^[3] | BLMIS Customer Files | Documents Produced to the Trustee | Bates Reference to BLMIS Customer Files ^[4] | Bates Reference to Documents Produced to the Trustee ^[4] |
| 100813 | 1CM596 | TRACY D KAMENSTEIN | 1/6/2000 | (250,000) | CW | CHECK | Yes | Yes | n/a | AMF00250581 ^[8] | n/a |
| 257408 | 1CM596 | TRACY D KAMENSTEIN | 4/7/2000 | (298,547) | CW | CHECK WIRE | Yes | Yes | n/a | AMF00250579 ^[8] | n/a |
| 282281 | 1CM597 | SLOAN G KAMENSTEIN | 4/7/2000 | (279,486) | CW | CHECK WIRE | Yes | Yes | n/a | AMF00250579 ^[8] | n/a |
| 265294 | 1CM596 | TRACY D KAMENSTEIN | 9/5/2000 | 65,801 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 168802 | 1CM597 | SLOAN G KAMENSTEIN | 9/5/2000 | 77,716 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 69023 | 1CM596 | TRACY D KAMENSTEIN | 10/20/2000 | 5,872 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 258896 | 1CM597 | SLOAN G KAMENSTEIN | 10/20/2000 | 12,921 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 224923 | 1CM596 | TRACY D KAMENSTEIN | 11/14/2001 | (87,000) | CW | CHECK | Yes | Yes | Yes ^[5] | AMF00267651 | 10-04469_Kamenstein_0000002 |
| 245048 | 1CM597 | SLOAN G KAMENSTEIN | 11/14/2001 | (87,000) | CW | CHECK | Yes | Yes | Yes ^[5] | AMF00267764 | 10-04469_Kamenstein_0000002 |
| 219136 | 1CM596 | TRACY D KAMENSTEIN | 4/10/2002 | (61,000) | CW | CHECK | Yes | Yes | Yes ^[6] | AMF00267650 | 10-04469_Kamenstein_0000001 |
| 284309 | 1CM597 | SLOAN G KAMENSTEIN | 4/10/2002 | (264,000) | CW | CHECK | Yes | Yes | Yes ^[6] | AMF00267763 | 10-04469_Kamenstein_0000001 |
| 107 | 1CM596 | TRACY D KAMENSTEIN | 1/2/2003 | (75,000) | CW | CHECK | Yes | Yes | Yes ^[7] | AMF00250567 ^[8] | 10-04469_Kamenstein_0000114 |
| 82843 | 1CM597 | SLOAN G KAMENSTEIN | 1/2/2003 | (75,000) | CW | CHECK | Yes | Yes | Yes ^[7] | AMF00250567 ^[8] | 10-04469_Kamenstein_0000114 |
| 236862 | 1CM596 | TRACY D KAMENSTEIN | 6/13/2003 | (60,000) | CW | CHECK | Yes | Yes | Yes | AMF00267649 | 10-04469_Kamenstein_0000111 |
| 192967 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2003 | (60,000) | CW | CHECK | Yes | Yes | Yes | AMF00267761 | 10-04469_Kamenstein_0000111 |
| 234359 | 1CM596 | TRACY D KAMENSTEIN | 8/11/2003 | (325,000) | CW | CHECK | Yes | Yes | Yes | AMF00267647-48 | 10-04469_Kamenstein_0000112 |
| 260599 | 1CM597 | SLOAN G KAMENSTEIN | 8/11/2003 | (325,000) | CW | CHECK | Yes | Yes | Yes | AMF00267759-60 | 10-04469_Kamenstein_0000112 |
| 260582 | 1CM596 | TRACY D KAMENSTEIN | 8/18/2003 | 25,000 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 91082 | 1CM597 | SLOAN G KAMENSTEIN | 8/18/2003 | 25,000 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 67736 | 1CM596 | TRACY D KAMENSTEIN | 1/5/2004 | (50,000) | CW | CHECK | Yes | Yes | Yes | AMF00267646 | 10-04469_Kamenstein_0000116 |
| 67744 | 1CM597 | SLOAN G KAMENSTEIN | 1/5/2004 | (50,000) | CW | CHECK | Yes | Yes | Yes | AMF00267758 | 10-04469_Kamenstein_0000116 |
| 288991 | 1CM596 | TRACY D KAMENSTEIN | 4/2/2004 | (62,500) | CW | CHECK | Yes | Yes | Yes | AMF00267645 | 10-04469_Kamenstein_0000180 |
| 230885 | 1CM597 | SLOAN G KAMENSTEIN | 4/2/2004 | (62,500) | CW | CHECK | Yes | Yes | Yes | AMF00267757 | 10-04469_Kamenstein_0000180 |
| 143805 | 1CM596 | TRACY D KAMENSTEIN | 7/2/2004 | (45,000) | CW | CHECK | Yes | Yes | Yes | AMF00267644 | 10-04469_Kamenstein_0000143-44 |
| 71265 | 1CM597 | SLOAN G KAMENSTEIN | 7/2/2004 | (176,000) | CW | CHECK | Yes | Yes | Yes | AMF00267755 | 10-04469_Kamenstein_0000143-44 |
| 229232 | 1CM596 | TRACY D KAMENSTEIN | 9/7/2004 | (25,000) | CW | CHECK | Yes | Yes | Yes | AMF00267643 | 10-04469_Kamenstein_0000155-56 |

List of All Cash Transactions in the Kamenstein Accounts

| | | | | | | | Reconciliation Results ^[4] | | | | |
|----------------------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------------|--|---------------------------------------|----------------------|-----------------------------------|--|---|
| CM ID ^[1] | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[2] | Amount ^[2] | Transaction Type ^[2] | Transaction Description ^[2] | BLMIS Bank Records ^[3] | BLMIS Customer Files | Documents Produced to the Trustee | Bates Reference to BLMIS Customer Files ^[4] | Bates Reference to Documents Produced to the Trustee ^[4] |
| 101127 | 1CM597 | SLOAN G KAMENSTEIN | 9/7/2004 | (25,000) | CW | CHECK | Yes | Yes | Yes | AMF00267754 | 10-04469_Kamenstein_0000155-56 |
| 5599 | 1CM596 | TRACY D KAMENSTEIN | 9/22/2004 | (62,000) | CW | CHECK | Yes | Yes | Yes | AMF00267642 | 10-04469_Kamenstein_0000194-95 |
| 175903 | 1CM597 | SLOAN G KAMENSTEIN | 9/22/2004 | (62,000) | CW | CHECK | Yes | Yes | Yes | AMF00267753 | 10-04469_Kamenstein_0000194-95 |
| 74612 | 1CM596 | TRACY D KAMENSTEIN | 11/23/2004 | (17,000) | CW | CHECK | Yes | Yes | Yes | AMF00267641 | 10-04469_Kamenstein_0000203-04 |
| 164442 | 1CM597 | SLOAN G KAMENSTEIN | 11/23/2004 | (17,000) | CW | CHECK | Yes | Yes | Yes | AMF00267752 | 10-04469_Kamenstein_0000203-04 |
| 299525 | 1CM596 | TRACY D KAMENSTEIN | 12/3/2004 | (17,000) | CW | CHECK | Yes | Yes | Yes | AMF00267640 | 10-04469_Kamenstein_0000207-08 |
| 267885 | 1CM597 | SLOAN G KAMENSTEIN | 12/3/2004 | (17,000) | CW | CHECK | Yes | Yes | Yes | AMF00267750-51 | 10-04469_Kamenstein_0000207-08 |
| 149413 | 1CM596 | TRACY D KAMENSTEIN | 1/4/2005 | (47,000) | CW | CHECK | Yes | Yes | Yes | AMF00267639 | 10-04469_Kamenstein_0000121-22 |
| 262070 | 1CM597 | SLOAN G KAMENSTEIN | 1/4/2005 | (47,000) | CW | CHECK | Yes | Yes | Yes | AMF00267749 | 10-04469_Kamenstein_0000121-22 |
| 106201 | 1CM913 | DAVID R KAMENSTEIN | 1/4/2005 | (97,000) | CW | CHECK | Yes | Yes | Yes | AMF00278597 | 10-04469_Kamenstein_0000121-22 |
| 272658 | 1CM914 | CAROL KAMENSTEIN | 1/4/2005 | (97,000) | CW | CHECK | Yes | Yes | Yes | AMF00278650 | 10-04469_Kamenstein_0000121-22 |
| 87403 | 1CM596 | TRACY D KAMENSTEIN | 3/21/2005 | (52,500) | CW | CHECK | Yes | Yes | Yes | AMF00267638 | 10-04469_Kamenstein_0000129-30 |
| 173576 | 1CM596 | TRACY D KAMENSTEIN | 3/21/2005 | 777,414 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 141095 | 1CM597 | SLOAN G KAMENSTEIN | 3/21/2005 | (52,500) | CW | CHECK | Yes | Yes | Yes | AMF00267748 | 10-04469_Kamenstein_0000129-30 |
| 260533 | 1CM913 | DAVID R KAMENSTEIN | 3/21/2005 | (105,000) | CW | CHECK | Yes | Yes | Yes | AMF00278586 | 10-04469_Kamenstein_0000129-30 |
| 173591 | 1CM914 | CAROL KAMENSTEIN | 3/21/2005 | (105,000) | CW | CHECK | Yes | Yes | Yes | AMF00278639 | 10-04469_Kamenstein_0000129-30 |
| 236193 | 1CM596 | TRACY D KAMENSTEIN | 5/13/2005 | (25,760) | CW | CHECK | Yes | Yes | Yes | AMF00267633 | 10-04469_Kamenstein_0000137-38 |
| 244266 | 1CM597 | SLOAN G KAMENSTEIN | 5/13/2005 | (127,760) | CW | CHECK | Yes | Yes | Yes | AMF00267747 | 10-04469_Kamenstein_0000137-38 |
| 256804 | 1CM913 | DAVID R KAMENSTEIN | 5/13/2005 | (54,740) | CW | CHECK | Yes | Yes | Yes | AMF00278585 | 10-04469_Kamenstein_0000137-38 |
| 184045 | 1CM914 | CAROL KAMENSTEIN | 5/13/2005 | (54,740) | CW | CHECK | Yes | Yes | Yes | AMF00278638 | 10-04469_Kamenstein_0000137-38 |
| 240631 | 1CM597 | SLOAN G KAMENSTEIN | 6/2/2005 | 600,000 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 187325 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2005 | (22,500) | CW | CHECK | Yes | Yes | Yes | AMF00267746 | 10-04469_Kamenstein_0000186-87 |
| 267759 | 1CM596 | TRACY D KAMENSTEIN | 6/15/2005 | (22,500) | CW | CHECK | Yes | Yes | Yes | AMF00267632 | 10-04469_Kamenstein_0000186-87 |
| 254322 | 1CM913 | DAVID R KAMENSTEIN | 6/15/2005 | (47,500) | CW | CHECK | Yes | Yes | Yes | AMF00278584 | 10-04469_Kamenstein_0000186-87 |
| 187372 | 1CM914 | CAROL KAMENSTEIN | 6/15/2005 | (47,500) | CW | CHECK | Yes | Yes | Yes | AMF00278637 | 10-04469_Kamenstein_0000186-87 |
| 233628 | 1CM597 | SLOAN G KAMENSTEIN | 7/8/2005 | 700,000 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |

List of All Cash Transactions in the Kamenstein Accounts

| | | | | | | | Reconciliation Results ^[4] | | | | |
|----------------------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------------|--|---------------------------------------|----------------------|-----------------------------------|--|---|
| CM ID ^[1] | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[2] | Amount ^[2] | Transaction Type ^[2] | Transaction Description ^[2] | BLMIS Bank Records ^[3] | BLMIS Customer Files | Documents Produced to the Trustee | Bates Reference to BLMIS Customer Files ^[4] | Bates Reference to Documents Produced to the Trustee ^[4] |
| 302529 | 1CM596 | TRACY D KAMENSTEIN | 7/12/2005 | (26,700) | CW | CHECK | Yes | Yes | Yes | AMF00267631 | 10-04469_Kamenstein_0000149-50 |
| 281910 | 1CM597 | SLOAN G KAMENSTEIN | 7/12/2005 | (26,700) | CW | CHECK | Yes | Yes | Yes | AMF00267745 | 10-04469_Kamenstein_0000149-50 |
| 117375 | 1CM913 | DAVID R KAMENSTEIN | 7/12/2005 | (56,800) | CW | CHECK | Yes | Yes | Yes | AMF00278583 | 10-04469_Kamenstein_0000149-50 |
| 281978 | 1CM914 | CAROL KAMENSTEIN | 7/12/2005 | (56,800) | CW | CHECK | Yes | Yes | Yes | AMF00278636 | 10-04469_Kamenstein_0000149-50 |
| 233497 | 1CM596 | TRACY D KAMENSTEIN | 9/1/2005 | (67,600) | CW | CHECK | Yes | Yes | Yes | AMF00267630 | 10-04469_Kamenstein_0000157 |
| 302550 | 1CM597 | SLOAN G KAMENSTEIN | 9/1/2005 | (136,000) | CW | CHECK | Yes | Yes | Yes | AMF00267744 | 10-04469_Kamenstein_0000157 |
| 279124 | 1CM913 | DAVID R KAMENSTEIN | 9/1/2005 | (75,000) | CW | CHECK | Yes | Yes | Yes | AMF00278581-82 | 10-04469_Kamenstein_0000157 |
| 74691 | 1CM914 | CAROL KAMENSTEIN | 9/1/2005 | (75,000) | CW | CHECK | Yes | Yes | Yes | AMF00278635 | 10-04469_Kamenstein_0000157 |
| 284070 | 1CM596 | TRACY D KAMENSTEIN | 9/30/2005 | 20,600 | CA | CHECK | Yes | n/a | n/a | n/a | n/a |
| 305271 | 1CM596 | TRACY D KAMENSTEIN | 10/21/2005 | (37,500) | CW | CHECK | Yes | Yes | Yes | AMF00267629 | 10-04469_Kamenstein_0000164-65 |
| 259567 | 1CM597 | SLOAN G KAMENSTEIN | 10/21/2005 | (92,500) | CW | CHECK | Yes | Yes | Yes | AMF00267743 | 10-04469_Kamenstein_0000164-65 |
| 76928 | 1CM913 | DAVID R KAMENSTEIN | 10/21/2005 | (77,500) | CW | CHECK | Yes | Yes | Yes | AMF00278580 | 10-04469_Kamenstein_0000164-65 |
| 260904 | 1CM914 | CAROL KAMENSTEIN | 10/21/2005 | (77,500) | CW | CHECK | Yes | Yes | Yes | AMF00278634 | 10-04469_Kamenstein_0000164-65 |
| 212937 | 1CM597 | SLOAN G KAMENSTEIN | 11/14/2005 | (200,000) | CW | CHECK | Yes | Yes | Yes | AMF00267742 | 10-04469_Kamenstein_0000209-10 |
| 220163 | 1CM596 | TRACY D KAMENSTEIN | 11/25/2005 | (20,500) | CW | CHECK | Yes | Yes | Yes | AMF00267628 | 10-04469_Kamenstein_0000170-71 |
| 220174 | 1CM597 | SLOAN G KAMENSTEIN | 11/25/2005 | (20,500) | CW | CHECK | Yes | Yes | Yes | AMF00267741 | 10-04469_Kamenstein_0000170-71 |
| 291720 | 1CM913 | DAVID R KAMENSTEIN | 11/25/2005 | (42,000) | CW | CHECK | Yes | Yes | Yes | AMF00278579 | 10-04469_Kamenstein_0000170-71 |
| 141687 | 1CM914 | CAROL KAMENSTEIN | 11/25/2005 | (42,000) | CW | CHECK | Yes | Yes | Yes | AMF00278633 | 10-04469_Kamenstein_0000170-71 |
| 273266 | 1CM597 | SLOAN G KAMENSTEIN | 12/9/2005 | (350,000) | CW | CHECK | Yes | Yes | Yes | AMF00267739-40 | 10-04469_Kamenstein_0000174-75 |
| 279845 | 1CM596 | TRACY D KAMENSTEIN | 1/4/2006 | (36,800) | CW | CHECK | Yes | Yes | Yes | AMF00267627 | 10-04469_Kamenstein_0000176-77 |
| 13070 | 1CM597 | SLOAN G KAMENSTEIN | 1/4/2006 | (58,500) | CW | CHECK | Yes | Yes | Yes | AMF00267738 | 10-04469_Kamenstein_0000176-77 |
| 312824 | 1CM913 | DAVID R KAMENSTEIN | 1/4/2006 | (48,500) | CW | CHECK | Yes | Yes | Yes | AMF00278578 | 10-04469_Kamenstein_0000176-77 |
| 25832 | 1CM914 | CAROL KAMENSTEIN | 1/4/2006 | (48,500) | CW | CHECK | Yes | Yes | Yes | AMF00278632 | 10-04469_Kamenstein_0000176-77 |
| 279602 | 1CM596 | TRACY D KAMENSTEIN | 3/2/2006 | (61,200) | CW | CHECK | Yes | Yes | n/a | AMF00267626 | n/a |
| 16036 | 1CM597 | SLOAN G KAMENSTEIN | 3/2/2006 | (55,700) | CW | CHECK | Yes | Yes | n/a | AMF00267737 | n/a |
| 312050 | 1CM913 | DAVID R KAMENSTEIN | 3/6/2006 | (80,800) | CW | CHECK | Yes | Yes | n/a | AMF00278577 | n/a |

List of All Cash Transactions in the Kamenstein Accounts

| | | | | | | | Reconciliation Results ^[4] | | | | |
|----------------------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------------|--|---------------------------------------|----------------------|-----------------------------------|--|---|
| CM ID ^[1] | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[2] | Amount ^[2] | Transaction Type ^[2] | Transaction Description ^[2] | BLMIS Bank Records ^[3] | BLMIS Customer Files | Documents Produced to the Trustee | Bates Reference to BLMIS Customer Files ^[4] | Bates Reference to Documents Produced to the Trustee ^[4] |
| 30253 | 1CM914 | CAROL KAMENSTEIN | 3/6/2006 | (80,800) | CW | CHECK | Yes | Yes | n/a | AMF00278631 | n/a |
| 169567 | 1CM596 | TRACY D KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | Yes | Yes | n/a | AMF00267625 | n/a |
| 237888 | 1CM597 | SLOAN G KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | Yes | Yes | n/a | AMF00267736 | n/a |
| 221734 | 1CM913 | DAVID R KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | Yes | Yes | n/a | AMF00278576 | n/a |
| 165777 | 1CM914 | CAROL KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | Yes | Yes | n/a | AMF00278630 | n/a |
| 262581 | 1CM596 | TRACY D KAMENSTEIN | 5/3/2006 | (84,000) | CW | CHECK | Yes | Yes | Yes | AMF00267624 | 10-04469_Kamenstein_0000182-83 |
| 85708 | 1CM597 | SLOAN G KAMENSTEIN | 5/3/2006 | (149,000) | CW | CHECK | Yes | Yes | Yes | AMF00267735 | 10-04469_Kamenstein_0000182-83 |
| 22439 | 1CM913 | DAVID R KAMENSTEIN | 5/3/2006 | (129,000) | CW | CHECK | Yes | Yes | Yes | AMF00278575 | 10-04469_Kamenstein_0000182-83 |
| 4187 | 1CM914 | CAROL KAMENSTEIN | 5/3/2006 | (129,000) | CW | CHECK | Yes | Yes | Yes | AMF00278629 | 10-04469_Kamenstein_0000182-83 |
| 271846 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2006 | (155,000) | CW | CHECK | Yes | Yes | Yes | AMF00267733-34 | 10-04469_Kamenstein_0000184 |
| 127980 | 1CM596 | TRACY D KAMENSTEIN | 6/15/2006 | 87,747 | CA | CHECK | Yes | n/a | n/a | n/a | n/a |
| 34461 | 1CM596 | TRACY D KAMENSTEIN | 7/5/2006 | (45,000) | CW | CHECK | Yes | Yes | Yes | AMF00267623 | 10-04469_Kamenstein_0000188-89 |
| 86342 | 1CM597 | SLOAN G KAMENSTEIN | 7/5/2006 | (71,000) | CW | CHECK | Yes | Yes | Yes | AMF00267732 | 10-04469_Kamenstein_0000188-89 |
| 180161 | 1CM913 | DAVID R KAMENSTEIN | 7/5/2006 | (92,000) | CW | CHECK | Yes | Yes | Yes | AMF00278574 | 10-04469_Kamenstein_0000188-89 |
| 238492 | 1CM914 | CAROL KAMENSTEIN | 7/5/2006 | (92,000) | CW | CHECK | Yes | Yes | Yes | AMF00278628 | 10-04469_Kamenstein_0000188-89 |
| 197238 | 1CM597 | SLOAN G KAMENSTEIN | 8/3/2006 | 530,000 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 197222 | 1CM596 | TRACY D KAMENSTEIN | 8/8/2006 | (17,000) | CW | CHECK | Yes | Yes | Yes | AMF00267622 | 10-04469_Kamenstein_0000190-91 |
| 136752 | 1CM597 | SLOAN G KAMENSTEIN | 8/8/2006 | (79,000) | CW | CHECK | Yes | Yes | Yes | AMF00267731 | 10-04469_Kamenstein_0000190-91 |
| 136762 | 1CM913 | DAVID R KAMENSTEIN | 8/8/2006 | (39,500) | CW | CHECK | Yes | Yes | Yes | AMF00278573 | 10-04469_Kamenstein_0000190-91 |
| 282365 | 1CM914 | CAROL KAMENSTEIN | 8/8/2006 | (39,500) | CW | CHECK | Yes | Yes | Yes | AMF00278627 | 10-04469_Kamenstein_0000190-91 |
| 187029 | 1CM596 | TRACY D KAMENSTEIN | 9/7/2006 | (44,000) | CW | CHECK | Yes | Yes | Yes | AMF00267621 | 10-04469_Kamenstein_0000192-93 |
| 247865 | 1CM597 | SLOAN G KAMENSTEIN | 9/7/2006 | (67,000) | CW | CHECK | Yes | Yes | Yes | AMF00267730 | 10-04469_Kamenstein_0000192-93 |
| 186778 | 1CM913 | DAVID R KAMENSTEIN | 9/7/2006 | (98,000) | CW | CHECK | Yes | Yes | Yes | AMF00278572 | 10-04469_Kamenstein_0000192-93 |
| 114907 | 1CM914 | CAROL KAMENSTEIN | 9/7/2006 | (98,000) | CW | CHECK | Yes | Yes | Yes | AMF00278626 | 10-04469_Kamenstein_0000192-93 |
| 186395 | 1CM596 | TRACY D KAMENSTEIN | 10/4/2006 | (13,000) | CW | CHECK | Yes | Yes | Yes | AMF00267620 | 10-04469_Kamenstein_0000198-99 |
| 229480 | 1CM597 | SLOAN G KAMENSTEIN | 10/4/2006 | (55,000) | CW | CHECK | Yes | Yes | Yes | AMF00267729 | 10-04469_Kamenstein_0000198-99 |

List of All Cash Transactions in the Kamenstein Accounts

| | | | | | | | Reconciliation Results ^[4] | | | | |
|----------------------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------------|--|---------------------------------------|----------------------|-----------------------------------|--|---|
| CM ID ^[1] | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[2] | Amount ^[2] | Transaction Type ^[2] | Transaction Description ^[2] | BLMIS Bank Records ^[3] | BLMIS Customer Files | Documents Produced to the Trustee | Bates Reference to BLMIS Customer Files ^[4] | Bates Reference to Documents Produced to the Trustee ^[4] |
| 229511 | 1CM913 | DAVID R KAMENSTEIN | 10/4/2006 | (50,000) | CW | CHECK | Yes | Yes | Yes | AMF00278571 | 10-04469_Kamenstein_0000198-99 |
| 220884 | 1CM914 | CAROL KAMENSTEIN | 10/4/2006 | (50,000) | CW | CHECK | Yes | Yes | Yes | AMF00278625 | 10-04469_Kamenstein_0000198-99 |
| 252397 | 1CM597 | SLOAN G KAMENSTEIN | 10/5/2006 | (350,000) | CW | CHECK | Yes | Yes | Yes | AMF00267727-28 | 10-04469_Kamenstein_0000212-13 |
| 245460 | 1CM596 | TRACY D KAMENSTEIN | 11/7/2006 | (39,400) | CW | CHECK | Yes | Yes | Yes | AMF00267619 | 10-04469_Kamenstein_0000201-02 |
| 225671 | 1CM597 | SLOAN G KAMENSTEIN | 11/7/2006 | (26,200) | CW | CHECK | Yes | Yes | Yes | AMF00267726 | 10-04469_Kamenstein_0000201-02 |
| 312924 | 1CM913 | DAVID R KAMENSTEIN | 11/7/2006 | (77,200) | CW | CHECK | Yes | Yes | Yes | AMF00278570 | 10-04469_Kamenstein_0000201-02 |
| 45542 | 1CM914 | CAROL KAMENSTEIN | 11/7/2006 | (77,200) | CW | CHECK | Yes | Yes | Yes | AMF00278624 | 10-04469_Kamenstein_0000201-02 |
| 281572 | 1CM596 | TRACY D KAMENSTEIN | 12/6/2006 | (21,300) | CW | CHECK | Yes | Yes | Yes | AMF00267618 | 10-04469_Kamenstein_0000205-06 |
| 23129 | 1CM597 | SLOAN G KAMENSTEIN | 12/6/2006 | (264,000) | CW | CHECK | Yes | Yes | Yes | AMF00267724-25 | 10-04469_Kamenstein_0000205-06 |
| 229952 | 1CM913 | DAVID R KAMENSTEIN | 12/6/2006 | (49,200) | CW | CHECK | Yes | Yes | Yes | AMF00278569 | 10-04469_Kamenstein_0000205-06 |
| 225543 | 1CM914 | CAROL KAMENSTEIN | 12/6/2006 | (49,200) | CW | CHECK | Yes | Yes | Yes | AMF00278623 | 10-04469_Kamenstein_0000205-06 |
| 222541 | 1CM596 | TRACY D KAMENSTEIN | 1/3/2007 | (47,500) | CW | CHECK | Yes | Yes | Yes | AMF00267617 | 10-04469_Kamenstein_0000117-18 |
| 309821 | 1CM597 | SLOAN G KAMENSTEIN | 1/3/2007 | (29,500) | CW | CHECK | Yes | Yes | Yes | AMF00267723 | 10-04469_Kamenstein_0000117-18 |
| 156133 | 1CM913 | DAVID R KAMENSTEIN | 1/3/2007 | (70,500) | CW | CHECK | Yes | Yes | Yes | AMF00278567-68 | 10-04469_Kamenstein_0000117-18 |
| 172728 | 1CM914 | CAROL KAMENSTEIN | 1/3/2007 | (70,500) | CW | CHECK | Yes | Yes | Yes | AMF00278622 | 10-04469_Kamenstein_0000117-18 |
| 276084 | 1CM596 | TRACY D KAMENSTEIN | 3/7/2007 | (56,000) | CW | CHECK | Yes | Yes | Yes | AMF00278565-66 ^[9] | 10-04469_Kamenstein_0000125-26 |
| 256734 | 1CM597 | SLOAN G KAMENSTEIN | 3/7/2007 | (36,000) | CW | CHECK | Yes | Yes | Yes | AMF00278565-66 ^[9] | 10-04469_Kamenstein_0000125-26 |
| 234193 | 1CM913 | DAVID R KAMENSTEIN | 3/7/2007 | (66,400) | CW | CHECK | Yes | Yes | Yes | AMF00278565-66 | 10-04469_Kamenstein_0000125-26 |
| 256373 | 1CM914 | CAROL KAMENSTEIN | 3/7/2007 | (66,400) | CW | CHECK | Yes | Yes | Yes | AMF00278565-66 ^[9] | 10-04469_Kamenstein_0000125-26 |
| 234866 | 1CM596 | TRACY D KAMENSTEIN | 5/2/2007 | (27,800) | CW | CHECK | Yes | Yes | Yes | AMF00267616 | 10-04469_Kamenstein_0000133-34 |
| 247673 | 1CM597 | SLOAN G KAMENSTEIN | 5/2/2007 | (45,300) | CW | CHECK | Yes | Yes | Yes | AMF00267722 | 10-04469_Kamenstein_0000133-34 |
| 309204 | 1CM913 | DAVID R KAMENSTEIN | 5/2/2007 | (42,700) | CW | CHECK | Yes | Yes | Yes | AMF00278563-64 | 10-04469_Kamenstein_0000133-34 |
| 214070 | 1CM914 | CAROL KAMENSTEIN | 5/2/2007 | (42,700) | CW | CHECK | Yes | Yes | Yes | AMF00278621 | 10-04469_Kamenstein_0000133-34 |
| 234067 | 1CM596 | TRACY D KAMENSTEIN | 6/6/2007 | (46,000) | CW | CHECK | Yes | Yes | Yes | AMF00267615 | 10-04469_Kamenstein_0000139-40 |
| 97839 | 1CM597 | SLOAN G KAMENSTEIN | 6/6/2007 | (150,000) | CW | CHECK | Yes | Yes | Yes | AMF00267721 | 10-04469_Kamenstein_0000139-40 |
| 285094 | 1CM913 | DAVID R KAMENSTEIN | 6/6/2007 | (60,000) | CW | CHECK | Yes | Yes | Yes | AMF00278562 | 10-04469_Kamenstein_0000139-40 |

List of All Cash Transactions in the Kamenstein Accounts

| | | | | | | | Reconciliation Results ^[4] | | | | |
|----------------------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------------|--|---------------------------------------|----------------------|-----------------------------------|--|---|
| CM ID ^[1] | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[2] | Amount ^[2] | Transaction Type ^[2] | Transaction Description ^[2] | BLMIS Bank Records ^[3] | BLMIS Customer Files | Documents Produced to the Trustee | Bates Reference to BLMIS Customer Files ^[4] | Bates Reference to Documents Produced to the Trustee ^[4] |
| 303452 | 1CM914 | CAROL KAMENSTEIN | 6/6/2007 | (60,000) | CW | CHECK | Yes | Yes | Yes | AMF00278620 | 10-04469_Kamenstein_0000139-40 |
| 221167 | 1CM913 | DAVID R KAMENSTEIN | 6/15/2007 | 13,600 | CA | CHECK | Yes | Yes | Yes | AMF00278619 ^[10] | 10-04469_Kamenstein_0000108 |
| 148721 | 1CM914 | CAROL KAMENSTEIN | 6/15/2007 | 13,600 | CA | CHECK | Yes | Yes | Yes | AMF00278619 | 10-04469_Kamenstein_0000108 |
| 79733 | 1CM597 | SLOAN G KAMENSTEIN | 6/20/2007 | 8,000 | CA | CHECK | Yes | n/a | Yes | n/a | 10-04469_Kamenstein_0000107 |
| 248925 | 1CM596 | TRACY D KAMENSTEIN | 7/3/2007 | (20,800) | CW | CHECK | Yes | Yes | Yes | AMF00267614 | 10-04469_Kamenstein_0000147-48 |
| 250169 | 1CM597 | SLOAN G KAMENSTEIN | 7/3/2007 | (11,600) | CW | CHECK | Yes | Yes | Yes | AMF00267720 | 10-04469_Kamenstein_0000147-48 |
| 246810 | 1CM913 | DAVID R KAMENSTEIN | 7/3/2007 | (32,000) | CW | CHECK | Yes | Yes | Yes | AMF00278561 | 10-04469_Kamenstein_0000147-48 |
| 293661 | 1CM914 | CAROL KAMENSTEIN | 7/3/2007 | (32,000) | CW | CHECK | Yes | Yes | Yes | AMF00278618 | 10-04469_Kamenstein_0000147-48 |
| 271724 | 1CM596 | TRACY D KAMENSTEIN | 8/2/2007 | (36,000) | CW | CHECK | Yes | Yes | Yes | AMF00267613 | 10-04469_Kamenstein_0000151-52 |
| 213325 | 1CM597 | SLOAN G KAMENSTEIN | 8/2/2007 | (18,000) | CW | CHECK | Yes | Yes | Yes | AMF00267719 | 10-04469_Kamenstein_0000151-52 |
| 184286 | 1CM913 | DAVID R KAMENSTEIN | 8/2/2007 | (50,000) | CW | CHECK | Yes | Yes | Yes | AMF00278560 | 10-04469_Kamenstein_0000151-52 |
| 138711 | 1CM914 | CAROL KAMENSTEIN | 8/2/2007 | (50,000) | CW | CHECK | Yes | Yes | Yes | AMF00278617 | 10-04469_Kamenstein_0000151-52 |
| 61917 | 1CM596 | TRACY D KAMENSTEIN | 9/5/2007 | (45,700) | CW | CHECK | Yes | Yes | Yes | AMF00267612 | 10-04469_Kamenstein_0000160-61 |
| 268833 | 1CM597 | SLOAN G KAMENSTEIN | 9/5/2007 | (25,800) | CW | CHECK | Yes | Yes | Yes | AMF00267718 | 10-04469_Kamenstein_0000160-61 |
| 234420 | 1CM913 | DAVID R KAMENSTEIN | 9/5/2007 | (61,500) | CW | CHECK | Yes | Yes | Yes | AMF00278559 | 10-04469_Kamenstein_0000160-61 |
| 268862 | 1CM914 | CAROL KAMENSTEIN | 9/5/2007 | (61,500) | CW | CHECK | Yes | Yes | Yes | AMF00278616 | 10-04469_Kamenstein_0000160-61 |
| 254746 | 1CM596 | TRACY D KAMENSTEIN | 10/2/2007 | (12,600) | CW | CHECK | Yes | Yes | Yes | AMF00267611 | 10-04469_Kamenstein_0000162-63 |
| 176143 | 1CM597 | SLOAN G KAMENSTEIN | 10/2/2007 | (7,100) | CW | CHECK | Yes | Yes | Yes | AMF00267717 | 10-04469_Kamenstein_0000162-63 |
| 205069 | 1CM913 | DAVID R KAMENSTEIN | 10/2/2007 | (21,800) | CW | CHECK | Yes | Yes | Yes | AMF00278557-58 | 10-04469_Kamenstein_0000162-63 |
| 234742 | 1CM914 | CAROL KAMENSTEIN | 10/2/2007 | (21,800) | CW | CHECK | Yes | Yes | Yes | AMF00278615 | 10-04469_Kamenstein_0000162-63 |
| 12688 | 1CM596 | TRACY D KAMENSTEIN | 11/2/2007 | (39,700) | CW | CHECK | Yes | Yes | Yes | AMF00267610 | 10-04469_Kamenstein_0000166-67 |
| 12695 | 1CM597 | SLOAN G KAMENSTEIN | 11/2/2007 | (22,400) | CW | CHECK | Yes | Yes | Yes | AMF00267716 | 10-04469_Kamenstein_0000166-67 |
| 261248 | 1CM913 | DAVID R KAMENSTEIN | 11/2/2007 | (52,900) | CW | CHECK | Yes | Yes | Yes | AMF00278556 | 10-04469_Kamenstein_0000166-67 |
| 11895 | 1CM914 | CAROL KAMENSTEIN | 11/2/2007 | (52,900) | CW | CHECK | Yes | Yes | Yes | AMF00278614 | 10-04469_Kamenstein_0000166-67 |
| 270738 | 1CM913 | DAVID R KAMENSTEIN | 11/21/2007 | 100,000 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 12713 | 1CM914 | CAROL KAMENSTEIN | 11/21/2007 | 100,000 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |

List of All Cash Transactions in the Kamenstein Accounts

| | | | | | | | Reconciliation Results ^[4] | | | | |
|----------------------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------------|--|---------------------------------------|----------------------|-----------------------------------|--|---|
| CM ID ^[1] | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[2] | Amount ^[2] | Transaction Type ^[2] | Transaction Description ^[2] | BLMIS Bank Records ^[3] | BLMIS Customer Files | Documents Produced to the Trustee | Bates Reference to BLMIS Customer Files ^[4] | Bates Reference to Documents Produced to the Trustee ^[4] |
| 9982 | 1CM596 | TRACY D KAMENSTEIN | 1/3/2008 | (23,400) | CW | CHECK | Yes | Yes | Yes | AMF00267609 | 10-04469_Kamenstein_0000119-20 |
| 190243 | 1CM597 | SLOAN G KAMENSTEIN | 1/3/2008 | (13,000) | CW | CHECK | Yes | Yes | Yes | AMF00267715 | 10-04469_Kamenstein_0000119-20 |
| 249632 | 1CM913 | DAVID R KAMENSTEIN | 1/3/2008 | (35,700) | CW | CHECK | Yes | Yes | Yes | AMF00278555 | 10-04469_Kamenstein_0000119-20 |
| 217641 | 1CM914 | CAROL KAMENSTEIN | 1/3/2008 | (35,700) | CW | CHECK | Yes | Yes | Yes | AMF00278613 | 10-04469_Kamenstein_0000119-20 |
| 175913 | 1CM596 | TRACY D KAMENSTEIN | 2/7/2008 | (38,000) | CW | CHECK | Yes | Yes | Yes | AMF00267607-08 | 10-04469_Kamenstein_0000123-24 |
| 283502 | 1CM597 | SLOAN G KAMENSTEIN | 2/7/2008 | (22,000) | CW | CHECK | Yes | Yes | Yes | AMF00267712-14 | 10-04469_Kamenstein_0000123-24 |
| 9345 | 1CM913 | DAVID R KAMENSTEIN | 2/7/2008 | (53,000) | CW | CHECK | Yes | Yes | Yes | AMF00278552-54 | 10-04469_Kamenstein_0000123-24 |
| 60202 | 1CM914 | CAROL KAMENSTEIN | 2/7/2008 | (53,000) | CW | CHECK | Yes | Yes | Yes | AMF00278611-12 | 10-04469_Kamenstein_0000123-24 |
| 255889 | 1CM596 | TRACY D KAMENSTEIN | 3/4/2008 | (28,400) | CW | CHECK | Yes | Yes | Yes | AMF00267606 | 10-04469_Kamenstein_0000127-28 |
| 67781 | 1CM597 | SLOAN G KAMENSTEIN | 3/4/2008 | (16,600) | CW | CHECK | Yes | Yes | Yes | AMF00267711 | 10-04469_Kamenstein_0000127-28 |
| 102821 | 1CM913 | DAVID R KAMENSTEIN | 3/4/2008 | (43,000) | CW | CHECK | Yes | Yes | Yes | AMF00278551 | 10-04469_Kamenstein_0000127-28 |
| 295224 | 1CM914 | CAROL KAMENSTEIN | 3/4/2008 | (43,000) | CW | CHECK | Yes | Yes | Yes | AMF00278610 | 10-04469_Kamenstein_0000127-28 |
| 235006 | 1CM596 | TRACY D KAMENSTEIN | 4/3/2008 | (17,600) | CW | CHECK | Yes | Yes | Yes | AMF00267605 | 10-04469_Kamenstein_0000131-32 |
| 250139 | 1CM597 | SLOAN G KAMENSTEIN | 4/3/2008 | (9,900) | CW | CHECK | Yes | Yes | Yes | AMF00267710 | 10-04469_Kamenstein_0000131-32 |
| 244785 | 1CM913 | DAVID R KAMENSTEIN | 4/3/2008 | (27,300) | CW | CHECK | Yes | Yes | Yes | AMF00278550 | 10-04469_Kamenstein_0000131-32 |
| 254766 | 1CM914 | CAROL KAMENSTEIN | 4/3/2008 | (27,300) | CW | CHECK | Yes | Yes | Yes | AMF00278609 | 10-04469_Kamenstein_0000131-32 |
| 185158 | 1CM596 | TRACY D KAMENSTEIN | 5/6/2008 | (24,800) | CW | CHECK | Yes | Yes | Yes | AMF00267604 | 10-04469_Kamenstein_0000135-36 |
| 261809 | 1CM597 | SLOAN G KAMENSTEIN | 5/6/2008 | (14,400) | CW | CHECK | Yes | Yes | Yes | AMF00267709 | 10-04469_Kamenstein_0000135-36 |
| 259120 | 1CM913 | DAVID R KAMENSTEIN | 5/6/2008 | (33,900) | CW | CHECK | Yes | Yes | Yes | AMF00278549 | 10-04469_Kamenstein_0000135-36 |
| 166712 | 1CM914 | CAROL KAMENSTEIN | 5/6/2008 | (33,900) | CW | CHECK | Yes | Yes | Yes | AMF00278608 | 10-04469_Kamenstein_0000135-36 |
| 289716 | 1CM596 | TRACY D KAMENSTEIN | 6/3/2008 | (48,000) | CW | CHECK | Yes | Yes | Yes | AMF00267603 | 10-04469_Kamenstein_0000141-42 |
| 158047 | 1CM597 | SLOAN G KAMENSTEIN | 6/3/2008 | (25,000) | CW | CHECK | Yes | Yes | Yes | AMF00267708 | 10-04469_Kamenstein_0000141-42 |
| 256133 | 1CM913 | DAVID R KAMENSTEIN | 6/3/2008 | (60,700) | CW | CHECK | Yes | Yes | Yes | AMF00278548 | 10-04469_Kamenstein_0000141-42 |
| 286006 | 1CM914 | CAROL KAMENSTEIN | 6/3/2008 | (60,700) | CW | CHECK | Yes | Yes | Yes | AMF00278607 | 10-04469_Kamenstein_0000141-42 |
| 272504 | 1CM596 | TRACY D KAMENSTEIN | 6/13/2008 | 100,000 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |
| 281376 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2008 | 5,000 | CA | CHECK WIRE | Yes | n/a | n/a | n/a | n/a |

List of All Cash Transactions in the Kamenstein Accounts

| | | | | | | | Reconciliation Results ^[4] | | | | |
|----------------------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------------|--|---------------------------------------|----------------------|-----------------------------------|--|---|
| CM ID ^[1] | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[2] | Amount ^[2] | Transaction Type ^[2] | Transaction Description ^[2] | BLMIS Bank Records ^[3] | BLMIS Customer Files | Documents Produced to the Trustee | Bates Reference to BLMIS Customer Files ^[4] | Bates Reference to Documents Produced to the Trustee ^[4] |
| 249609 | 1CM596 | TRACY D KAMENSTEIN | 7/2/2008 | (14,200) | CW | CHECK | Yes | Yes | n/a | AMF00267602 | n/a |
| 35181 | 1CM597 | SLOAN G KAMENSTEIN | 7/2/2008 | (10,400) | CW | CHECK | Yes | Yes | n/a | AMF00267707 | n/a |
| 308190 | 1CM913 | DAVID R KAMENSTEIN | 7/2/2008 | (28,100) | CW | CHECK | Yes | Yes | n/a | AMF00278546-47 | n/a |
| 290534 | 1CM914 | CAROL KAMENSTEIN | 7/2/2008 | (28,100) | CW | CHECK | Yes | Yes | n/a | AMF00278606 | n/a |
| 281425 | 1CM596 | TRACY D KAMENSTEIN | 8/5/2008 | (25,200) | CW | CHECK | Yes | Yes | Yes | AMF00267601 | 10-04469_Kamenstein_0000145-46 10-04469_Kamenstein_0000153-54 |
| 230100 | 1CM597 | SLOAN G KAMENSTEIN | 8/5/2008 | (14,300) | CW | CHECK | Yes | Yes | Yes | AMF00267706 | 10-04469_Kamenstein_0000145-46 10-04469_Kamenstein_0000153-54 |
| 237052 | 1CM913 | DAVID R KAMENSTEIN | 8/5/2008 | (33,900) | CW | CHECK | Yes | Yes | Yes | AMF00278545 | 10-04469_Kamenstein_0000145-46 10-04469_Kamenstein_0000153-54 |
| 189392 | 1CM914 | CAROL KAMENSTEIN | 8/5/2008 | (33,900) | CW | CHECK | Yes | Yes | Yes | AMF00278605 | 10-04469_Kamenstein_0000145-46 10-04469_Kamenstein_0000153-54 |
| 301839 | 1CM596 | TRACY D KAMENSTEIN | 9/5/2008 | (36,700) | CW | CHECK | Yes | Yes | Yes | AMF00267600 | 10-04469_Kamenstein_0000158-59 |
| 46282 | 1CM597 | SLOAN G KAMENSTEIN | 9/5/2008 | (20,900) | CW | CHECK | Yes | Yes | Yes | AMF00267705 | 10-04469_Kamenstein_0000158-59 |
| 46253 | 1CM913 | DAVID R KAMENSTEIN | 9/5/2008 | (48,800) | CW | CHECK | Yes | Yes | Yes | AMF00278544 | 10-04469_Kamenstein_0000158-59 |
| 254964 | 1CM914 | CAROL KAMENSTEIN | 9/5/2008 | (48,800) | CW | CHECK | Yes | Yes | Yes | AMF00278604 | 10-04469_Kamenstein_0000158-59 |
| 140382 | 1CM596 | TRACY D KAMENSTEIN | 10/2/2008 | (10,500) | CW | CHECK | Yes | Yes | Yes | AMF00267599 | 10-04469_Kamenstein_0000196-97 |
| 223284 | 1CM597 | SLOAN G KAMENSTEIN | 10/2/2008 | (8,300) | CW | CHECK | Yes | Yes | Yes | AMF00267704 | 10-04469_Kamenstein_0000196-97 |
| 147398 | 1CM913 | DAVID R KAMENSTEIN | 10/2/2008 | (20,600) | CW | CHECK | Yes | Yes | Yes | AMF00278543 | 10-04469_Kamenstein_0000196-97 |
| 98348 | 1CM914 | CAROL KAMENSTEIN | 10/2/2008 | (20,600) | CW | CHECK | Yes | Yes | Yes | AMF00278603 | 10-04469_Kamenstein_0000196-97 |
| 179632 | 1CM596 | TRACY D KAMENSTEIN | 11/4/2008 | (24,000) | CW | CHECK | Yes | Yes | Yes | AMF00267598 | 10-04469_Kamenstein_0000168-69 |
| 251712 | 1CM597 | SLOAN G KAMENSTEIN | 11/4/2008 | (13,600) | CW | CHECK | Yes | Yes | Yes | AMF00267703 | 10-04469_Kamenstein_0000168-69 |
| 42576 | 1CM913 | DAVID R KAMENSTEIN | 11/4/2008 | (33,200) | CW | CHECK | Yes | Yes | Yes | AMF00278542 | 10-04469_Kamenstein_0000168-69 |
| 4842 | 1CM914 | CAROL KAMENSTEIN | 11/4/2008 | (33,200) | CW | CHECK | Yes | Yes | Yes | AMF00278602 | 10-04469_Kamenstein_0000168-69 |
| 257795 | 1CM596 | TRACY D KAMENSTEIN | 12/2/2008 | (22,200) | CW | CHECK | Yes | Yes | Yes | AMF00267597 | 10-04469_Kamenstein_0000172-73 |
| 275673 | 1CM597 | SLOAN G KAMENSTEIN | 12/2/2008 | (13,300) | CW | CHECK | Yes | Yes | Yes | AMF00267702 | 10-04469_Kamenstein_0000172-73 |
| 264722 | 1CM913 | DAVID R KAMENSTEIN | 12/2/2008 | (31,300) | CW | CHECK | Yes | Yes | Yes | AMF00278541 | 10-04469_Kamenstein_0000172-73 |

List of All Cash Transactions in the Kamenstein Accounts

| | | | | | | | Reconciliation Results ^[4] | | | | |
|----------------------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------------|--|---------------------------------------|----------------------|-----------------------------------|--|---|
| CM ID ^[1] | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[2] | Amount ^[2] | Transaction Type ^[2] | Transaction Description ^[2] | BLMIS Bank Records ^[3] | BLMIS Customer Files | Documents Produced to the Trustee | Bates Reference to BLMIS Customer Files ^[4] | Bates Reference to Documents Produced to the Trustee ^[4] |
| 275236 | 1CM914 | CAROL KAMENSTEIN | 12/2/2008 | (31,300) | CW | CHECK | Yes | Yes | Yes | AMF00278601 | 10-04469_Kamenstein_0000172-73 |

^[1] CM ID is a unique identifier assigned to each transaction that appears on BLMIS customer statements, including customer cash deposit and withdrawal transactions.

^[2] The information contained in these columns was obtained from the BLMIS customer statements.

^[3] Further detail regarding my reconciliation to available BLMIS bank records is set forth in **Exhibit 6**.

^[4] The "n/a" designation indicates where records could not be located, were not produced to the Trustee, and/or are otherwise unavailable.

^[5] The referenced document produced to the Trustee by the Kamenstein Defendants is a request for cash withdrawals of \$87,000 from Kamenstein Accounts 1CM596 and 1CM597, but does not include a date. As there were no other cash withdrawals of \$87,000 from these two BLMIS customer accounts, I have reconciled this document to the two cash withdrawals of \$87,000 dated 11/14/2001 as reflected on the customer statements for Kamenstein Accounts 1CM596 and 1CM597.

^[6] The referenced document produced to the Trustee by the Kamenstein Defendants is a request for cash withdrawals of \$61,000 and \$264,000 from Kamenstein Accounts 1CM596 and 1CM597, respectively, but does not include a date. As there were no other cash withdrawals from these two BLMIS customer accounts of \$61,000 and \$264,000 occurring on the same day, I have reconciled this document to the two cash withdrawals of \$61,000 and \$264,000 dated 4/10/2002 as reflected on the customer statements for Kamenstein Accounts 1CM596 and 1CM597, respectively.

^[7] The referenced document produced to the Trustee by the Kamenstein Defendants is a request for cash withdrawals of \$75,000 from Kamenstein Accounts 1CM596 and 1CM597, but does not include a date. As there were no other cash withdrawals for \$75,000 from these two BLMIS customer accounts, I have reconciled this document to the two cash withdrawals of \$75,000 dated 1/2/2003 as reflected on the customer statements for Kamenstein Accounts 1CM596 and 1CM597.

^[8] The referenced document is included in the customer file for BLMIS customer account 1CM247 under the name "DAVID R KAMENSTEIN & CAROL KAMENSTEIN J/T WROS." As noted in my expert report, this account has been excluded from my report as it did not contain any cash withdrawal transactions during the Two Year Period.

^[9] The referenced document was included in the BLMIS customer file for Kamenstein Account 1CM913.

^[10] The referenced document was included in the BLMIS customer file for Kamenstein Account 1CM914.

EXHIBIT 4

**DAVID R. KAMENSTEIN
273 TANGIER AVENUE
PALM BEACH, FLORIDA 33480**

December 1, 2008

Bernard L. Madoff, Inc.
885 Third Avenue
New York, New York 10022

Attn: Jodi Crupi

Dear Jodi,

Please take the following amounts from our accounts and Federal Express them all to us.
Please use our Fedex account # 160931760 to pay for this service.

| | | |
|------------------|--------------------|----------|
| David Kamenstein | Acct # 1-CM913-3 | \$31,300 |
| Carol Kamenstein | Acct # 1-CM914-3 | \$31,300 |
| Tracy Kamenstein | Acct # 1-CM596-3-0 | \$22,200 |
| Sloan Kamenstein | Acct # 1-CM597-3-0 | \$13,300 |

Total \$98,100

If you have any questions, or if we can provide any further assistance, please do not hesitate to ask our bookkeeper, Barbara Clark (561) 832 6566.

Thank you very much.

Very truly yours,


David R. Kamenstein

Cc: Barbara Clark

5-12/2



EXHIBIT 5

Results of Tracing Analysis - Kamenstein Accounts (During the Two Year Period)^[1]

Summary of Cash Withdrawals from the Kamenstein Accounts

| Banking Institution ^[3] | Endorsement on Cancelled Checks ^[2] | BLMIS Account Holder/Check Payee | Total Cash Withdrawals from the Kamenstein Accounts |
|--|--|----------------------------------|---|
| Wells Fargo Bank | FOR DEPOSIT ONLY | Carol Kamenstein | 907,300 |
| Wells Fargo Bank | FOR DEPOSIT ONLY | David R Kamenstein | 907,300 |
| Wells Fargo Bank | FOR DEPOSIT ONLY | Sloan G Kamenstein | 527,400 |
| Wells Fargo Bank | FOR DEPOSIT ONLY | Tracy D Kamenstein | 645,100 |
| Total Cash Withdrawals from the Kamenstein Accounts during the Two Year Period | | | \$ 2,987,100 |

Analysis of Cash Withdrawals from the Kamenstein Accounts^[4]

| Banking Institution ^[3] | Account Number | Bank Account Holder(s) | Total Amount Traced per Available Records |
|--|------------------------|--|---|
| Wells Fargo Bank | xxxxxx5822 | Carol Kamenstein and David Kamenstein | 2,876,300 |
| Wells Fargo Bank | xxxxxxxx8305 | Sloan Kamenstein David, Carol and Tracy Kamenstein | 30,000 |
| Wells Fargo Bank | Unknown ^[5] | Unknown ^[5] | 80,800 |
| Total Cash Withdrawals from the Kamenstein Accounts during the Two Year Period | | | \$ 2,987,100 |

^[1] Further detail regarding my tracing analysis is set forth in **Exhibit 6**.

^[2] As shown in **Exhibit 6**, the cancelled checks for the cash withdrawals from the Kamenstein Accounts during the Two Year Period were all stamped "FOR DEPOSIT ONLY" and no account number or account holder was identified.

^[3] The BLMIS bank records refer to the banking institution as Wachovia NA. Wachovia Corporation was acquired by Wells Fargo & Company in 2008. See *First Union is Now Wells Fargo*, WELLS FARGO, <https://www.wellsfargo.com/about/corporate/firstunion> (last visited June 10, 2019).

^[4] The results of my analysis are based on my review of correspondence produced to the Trustee by the Kamenstein Defendants related to the cash withdrawals from the Kamenstein Accounts, as well as bank records produced to the Trustee by Wells Fargo Bank, as further described in **Section VI** to my report and as detailed in **Exhibit 6**.

^[5] Correspondence related to the four cash withdrawals from the Kamenstein Accounts dated 7/2/2008, totaling \$80,800, were not available in the documents produced to the Trustee by the Kamenstein Defendants. The cancelled checks from BLMIS bank records related to these four cash withdrawals were stamped "FOR DEPOSIT ONLY," and no account number or account holder was identified.

EXHIBIT 6

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 100813 | 1CM596 | TRACY D KAMENSTEIN | 1/6/2000 | (250,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 101173 | MADWAA00052123-24 | | |
| 257408 | 1CM596 | TRACY D KAMENSTEIN | 4/7/2000 | (298,547) | CW | CHECK WIRE | Withdrawal | Wire | Yes ^[4] | 703 Account | | | 6045 | 7225 |
| 282281 | 1CM597 | SLOAN G KAMENSTEIN | 4/7/2000 | (279,486) | CW | CHECK WIRE | Withdrawal | Wire | Yes ^[4] | 703 Account | | | 6045 | 7226 |
| 265294 | 1CM596 | TRACY D KAMENSTEIN | 9/5/2000 | 65,801 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 7904 | |
| 168802 | 1CM597 | SLOAN G KAMENSTEIN | 9/5/2000 | 77,716 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 7905 | |
| 69023 | 1CM596 | TRACY D KAMENSTEIN | 10/20/2000 | 5,872 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 8487 | |
| 258896 | 1CM597 | SLOAN G KAMENSTEIN | 10/20/2000 | 12,921 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 8488 | |
| 224923 | 1CM596 | TRACY D KAMENSTEIN | 11/14/2001 | (87,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 130119 | MADWAA00097611-12 | | |
| 245048 | 1CM597 | SLOAN G KAMENSTEIN | 11/14/2001 | (87,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 130120 | MADWAA00097613-14 | | |
| 219136 | 1CM596 | TRACY D KAMENSTEIN | 4/10/2002 | (61,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 134318 | JPMSAF0003953 MADWAA00064176-77 | | |
| 284309 | 1CM597 | SLOAN G KAMENSTEIN | 4/10/2002 | (264,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 134319 | JPMSAF0003954 MADWAA00064178-79 | | |
| 107 | 1CM596 | TRACY D KAMENSTEIN | 1/2/2003 | (75,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 140905 | JPMSAF0010829 MADWAA00118544-45 | | |
| 82843 | 1CM597 | SLOAN G KAMENSTEIN | 1/2/2003 | (75,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 140906 | JPMSAF0010830 MADWAA00118546-47 | | |
| 236862 | 1CM596 | TRACY D KAMENSTEIN | 6/13/2003 | (60,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 144931 | JPMSAF0014885 MADWAA00321770-71 | | |
| 192967 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2003 | (60,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 144932 | JPMSAF0014886 MADWAA00321772-73 | | |
| 234359 | 1CM596 | TRACY D KAMENSTEIN | 8/11/2003 | (325,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 146691 | JPMSAF0016556 MADWAA00324125-26 | | |
| 260599 | 1CM597 | SLOAN G KAMENSTEIN | 8/11/2003 | (325,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 146692 | JPMSAF0016557 MADWAA00324127-28 | | |
| 260582 | 1CM596 | TRACY D KAMENSTEIN | 8/18/2003 | 25,000 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 23819 | |
| 91082 | 1CM597 | SLOAN G KAMENSTEIN | 8/18/2003 | 25,000 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 23820 | |
| 67736 | 1CM596 | TRACY D KAMENSTEIN | 1/5/2004 | (50,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 150698 | JPMSAF0019846-47 MADWAA00235700-01 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 67744 | 1CM597 | SLOAN G KAMENSTEIN | 1/5/2004 | (50,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 150699 | JPMSAF0019848-49 MADWAA00235702-03 | | |
| 288991 | 1CM596 | TRACY D KAMENSTEIN | 4/2/2004 | (62,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 153144 | JPMSAF0022447 MADWAA00227623-24 | | |
| 230885 | 1CM597 | SLOAN G KAMENSTEIN | 4/2/2004 | (62,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 153145 | JPMSAF0022448 MADWAA00227625-26 | | |
| 143805 | 1CM596 | TRACY D KAMENSTEIN | 7/2/2004 | (45,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 155514 | JPMSAF0025187 MADWAA00342235-36 | | |
| 71265 | 1CM597 | SLOAN G KAMENSTEIN | 7/2/2004 | (176,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 155515 | JPMSAF0025188 MADWAA00342237-38 | | |
| 229232 | 1CM596 | TRACY D KAMENSTEIN | 9/7/2004 | (25,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 156939 | JPMSAF0026839 MADWAA00351065-66 | | |
| 101127 | 1CM597 | SLOAN G KAMENSTEIN | 9/7/2004 | (25,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 156940 | JPMSAF0026840 MADWAA00351067-68 | | |
| 5599 | 1CM596 | TRACY D KAMENSTEIN | 9/22/2004 | (62,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 157255 | JPMSAF0027162 MADWAA00351655-56 | | |
| 175903 | 1CM597 | SLOAN G KAMENSTEIN | 9/22/2004 | (62,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 157256 | JPMSAF0027163 MADWAA00351657-58 | | |
| 74612 | 1CM596 | TRACY D KAMENSTEIN | 11/23/2004 | (17,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 159157 | JPMSAF0029131 MADWAA00332985-86 | | |
| 164442 | 1CM597 | SLOAN G KAMENSTEIN | 11/23/2004 | (17,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 159158 | JPMSAF0029132 MADWAA00332987-88 | | |
| 299525 | 1CM596 | TRACY D KAMENSTEIN | 12/3/2004 | (17,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 159352 | JPMSAF0029343 MADWAA00333301-02 | | |
| 267885 | 1CM597 | SLOAN G KAMENSTEIN | 12/3/2004 | (17,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 159353 | JPMSAF0029344 MADWAA00333303-04 | | |
| 149413 | 1CM596 | TRACY D KAMENSTEIN | 1/4/2005 | (47,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 160490 | JPMSAF0030694 MADWAA00350506-07 | | |
| 262070 | 1CM597 | SLOAN G KAMENSTEIN | 1/4/2005 | (47,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 160491 | JPMSAF0030695 MADWAA00350508-09 | | |
| 106201 | 1CM913 | DAVID R KAMENSTEIN | 1/4/2005 | (97,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 160493 | JPMSAF0030698 MADWAA00350512-13 | | |
| 272658 | 1CM914 | CAROL KAMENSTEIN | 1/4/2005 | (97,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 160494 | JPMSAF0030699 MADWAA00350514-15 | | |
| 87403 | 1CM596 | TRACY D KAMENSTEIN | 3/21/2005 | (52,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 162170 | JPMSAF0032493 MADWAA00159949-50 | | |
| 173576 | 1CM596 | TRACY D KAMENSTEIN | 3/21/2005 | 777,414 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 32138 | |
| 141095 | 1CM597 | SLOAN G KAMENSTEIN | 3/21/2005 | (52,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 162171 | JPMSAF0032494 MADWAA00159951-52 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 260533 | 1CM913 | DAVID R KAMENSTEIN | 3/21/2005 | (105,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 162172 | JPMSAF0032495 MADWAA00159953-54 | | |
| 173591 | 1CM914 | CAROL KAMENSTEIN | 3/21/2005 | (105,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 162173 | JPMSAF0032496 MADWAA00159955-56 | | |
| 236193 | 1CM596 | TRACY D KAMENSTEIN | 5/13/2005 | (25,760) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 164304 | JPMSAF0034766-67 MADWAA00175592-93 | | |
| 244266 | 1CM597 | SLOAN G KAMENSTEIN | 5/13/2005 | (127,760) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 164305 | JPMSAF0034768-69 MADWAA00175594-95 | | |
| 256804 | 1CM913 | DAVID R KAMENSTEIN | 5/13/2005 | (54,740) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 164308 | JPMSAF0034772-73 MADWAA00175600-01 | | |
| 184045 | 1CM914 | CAROL KAMENSTEIN | 5/13/2005 | (54,740) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 164309 | JPMSAF0034774-75 MADWAA00175602-03 | | |
| 240631 | 1CM597 | SLOAN G KAMENSTEIN | 6/2/2005 | 600,000 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 33197 | |
| 187325 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2005 | (22,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 164767 | JPMSAF0035255 MADWAA00160992-93 | | |
| 267759 | 1CM596 | TRACY D KAMENSTEIN | 6/15/2005 | (22,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 164828 | JPMSAF0035326 MADWAA00161104-05 | | |
| 254322 | 1CM913 | DAVID R KAMENSTEIN | 6/15/2005 | (47,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 164831 | JPMSAF0035329 MADWAA00161110-11 | | |
| 187372 | 1CM914 | CAROL KAMENSTEIN | 6/15/2005 | (47,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 164832 | JPMSAF0035330 MADWAA00161112-13 | | |
| 233628 | 1CM597 | SLOAN G KAMENSTEIN | 7/8/2005 | 700,000 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 33753 | |
| 302529 | 1CM596 | TRACY D KAMENSTEIN | 7/12/2005 | (26,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 166252 | JPMSAF0036779 MADWAA00157613-14 | | |
| 281910 | 1CM597 | SLOAN G KAMENSTEIN | 7/12/2005 | (26,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 166253 | JPMSAF0036780 MADWAA00157615-16 | | |
| 117375 | 1CM913 | DAVID R KAMENSTEIN | 7/12/2005 | (56,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 166255 | JPMSAF0036782 MADWAA00157619-20 | | |
| 281978 | 1CM914 | CAROL KAMENSTEIN | 7/12/2005 | (56,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 166256 | JPMSAF0036783 MADWAA00157621-22 | | |
| 233497 | 1CM596 | TRACY D KAMENSTEIN | 9/1/2005 | (67,600) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 167053 | JPMSAF0037595 MADWAA00163388-89 MADWAA00163984-85 | | |
| 302550 | 1CM597 | SLOAN G KAMENSTEIN | 9/1/2005 | (136,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 167054 | JPMSAF0037596 MADWAA00163390-91 MADWAA00163986-87 | | |
| 279124 | 1CM913 | DAVID R KAMENSTEIN | 9/1/2005 | (75,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 167056 | JPMSAF0037598 MADWAA00163394-95 MADWAA00163990-91 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 74691 | 1CM914 | CAROL KAMENSTEIN | 9/1/2005 | (75,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 167057 | JPMSAF0037599 MADWAA00163396-97 MADWAA00163992-93 | | |
| 284070 | 1CM596 | TRACY D KAMENSTEIN | 9/30/2005 | 20,600 | CA | CHECK | Deposit | Check | Yes | 703 Account | 916 | JPMSAI0007846 JPMSAI0007847 | 34915 | 15278 |
| 305271 | 1CM596 | TRACY D KAMENSTEIN | 10/21/2005 | (37,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 168860 | JPMSAF0039543 MADWAA00354727-28 | | |
| 259567 | 1CM597 | SLOAN G KAMENSTEIN | 10/21/2005 | (92,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 168861 | JPMSAF0039544 MADWAA00354729-30 | | |
| 76928 | 1CM913 | DAVID R KAMENSTEIN | 10/21/2005 | (77,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 168862 | JPMSAF0039545 MADWAA00354731-32 | | |
| 260904 | 1CM914 | CAROL KAMENSTEIN | 10/21/2005 | (77,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 168863 | JPMSAF0039546 MADWAA00354733-34 | | |
| 212937 | 1CM597 | SLOAN G KAMENSTEIN | 11/14/2005 | (200,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 169198 | JPMSAF0039925 MADWAA00363854-55 | | |
| 220163 | 1CM596 | TRACY D KAMENSTEIN | 11/25/2005 | (20,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 169390 | JPMSAF0040120 MADWAA0035535-36 | | |
| 220174 | 1CM597 | SLOAN G KAMENSTEIN | 11/25/2005 | (20,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 169391 | JPMSAF0040121 MADWAA0035537-38 | | |
| 291720 | 1CM913 | DAVID R KAMENSTEIN | 11/25/2005 | (42,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 169394 | JPMSAF0040124 MADWAA0035543-44 | | |
| 141687 | 1CM914 | CAROL KAMENSTEIN | 11/25/2005 | (42,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 169395 | JPMSAF0040125 MADWAA0035545-46 | | |
| 273266 | 1CM597 | SLOAN G KAMENSTEIN | 12/9/2005 | (350,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 169692 | JPMSAF0040464 | | |
| 279845 | 1CM596 | TRACY D KAMENSTEIN | 1/4/2006 | (36,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 170771 | JPMSAF0041679 MADWAA00361838-39 | | |
| 13070 | 1CM597 | SLOAN G KAMENSTEIN | 1/4/2006 | (58,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 170772 | JPMSAF0041680 MADWAA00361840-41 | | |
| 312824 | 1CM913 | DAVID R KAMENSTEIN | 1/4/2006 | (48,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 170779 | JPMSAF0041687 MADWAA00361854-55 | | |
| 25832 | 1CM914 | CAROL KAMENSTEIN | 1/4/2006 | (48,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 170780 | JPMSAF0041688 MADWAA00361856-57 | | |
| 279602 | 1CM596 | TRACY D KAMENSTEIN | 3/2/2006 | (61,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 172276 | JPMSAF0043333 MADWAA00366541-42 | | |
| 16036 | 1CM597 | SLOAN G KAMENSTEIN | 3/2/2006 | (55,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 172277 | JPMSAF0043334 MADWAA00366543-44 | | |
| 312050 | 1CM913 | DAVID R KAMENSTEIN | 3/6/2006 | (80,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 172336 | JPMSAF0043398 MADWAA00366657-58 | | |
| 30253 | 1CM914 | CAROL KAMENSTEIN | 3/6/2006 | (80,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 172337 | JPMSAF0043399 MADWAA00366659-60 | | |
| 169567 | 1CM596 | TRACY D KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 173421 | JPMSAF0044598 MADWAA00374183-84 | | |
| 237888 | 1CM597 | SLOAN G KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 173422 | JPMSAF0044599 MADWAA00374185-86 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 221734 | 1CM913 | DAVID R KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 173426 | JPMSAF0044603 MADWAA00374193-94 | | |
| 165777 | 1CM914 | CAROL KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 173427 | JPMSAF0044604 MADWAA00374195-96 | | |
| 262581 | 1CM596 | TRACY D KAMENSTEIN | 5/3/2006 | (84,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 174555 | JPMSAF0045861 MADWAA00367762-63 | | |
| 85708 | 1CM597 | SLOAN G KAMENSTEIN | 5/3/2006 | (149,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 174556 | JPMSAF0045862 MADWAA00367764-65 | | |
| 22439 | 1CM913 | DAVID R KAMENSTEIN | 5/3/2006 | (129,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 174558 | JPMSAF0045864 MADWAA00367768-69 | | |
| 4187 | 1CM914 | CAROL KAMENSTEIN | 5/3/2006 | (129,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 174559 | JPMSAF0045865 MADWAA00367770-71 | | |
| 271846 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2006 | (155,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 175241 | JPMSAF0046599 MADWAA00211520-21 | | |
| 127980 | 1CM596 | TRACY D KAMENSTEIN | 6/15/2006 | 87,747 | CA | CHECK | Deposit | Check | Yes | 703 Account | 11643 | JPMSAI0009767 JPMSAI0009769 | 38265 | 16383 |
| 34461 | 1CM596 | TRACY D KAMENSTEIN | 7/5/2006 | (45,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 176131 | JPMSAF0047481 MADWAA00371292-93 | | |
| 86342 | 1CM597 | SLOAN G KAMENSTEIN | 7/5/2006 | (71,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 176132 | JPMSAF0047482 MADWAA00371294-95 | | |
| 180161 | 1CM913 | DAVID R KAMENSTEIN | 7/5/2006 | (92,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 176134 | JPMSAF0047484 MADWAA00371298-99 | | |
| 238492 | 1CM914 | CAROL KAMENSTEIN | 7/5/2006 | (92,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 176135 | JPMSAF0047485 MADWAA00371300-01 | | |
| 197238 | 1CM597 | SLOAN G KAMENSTEIN | 8/3/2006 | 530,000 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 38790 | |
| 197222 | 1CM596 | TRACY D KAMENSTEIN | 8/8/2006 | (17,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 177119 | JPMSAF0048419 MADWAA00210375-76 | | |
| 136752 | 1CM597 | SLOAN G KAMENSTEIN | 8/8/2006 | (79,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 177120 | JPMSAF0048420 MADWAA00210377-78 | | |
| 136762 | 1CM913 | DAVID R KAMENSTEIN | 8/8/2006 | (39,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 177121 | JPMSAF0048421 MADWAA00210379-80 | | |
| 282365 | 1CM914 | CAROL KAMENSTEIN | 8/8/2006 | (39,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 177122 | JPMSAF0048422 MADWAA00210381-82 | | |
| 187029 | 1CM596 | TRACY D KAMENSTEIN | 9/7/2006 | (44,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 177597 | JPMSAF0048857 MADWAA00209272-73 | | |
| 247865 | 1CM597 | SLOAN G KAMENSTEIN | 9/7/2006 | (67,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 177598 | JPMSAF0048858 MADWAA00209274-75 | | |
| 186778 | 1CM913 | DAVID R KAMENSTEIN | 9/7/2006 | (98,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 177599 | JPMSAF0048859 MADWAA00209276-77 | | |
| 114907 | 1CM914 | CAROL KAMENSTEIN | 9/7/2006 | (98,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 177600 | JPMSAF0048860 MADWAA00209278-79 | | |
| 186395 | 1CM596 | TRACY D KAMENSTEIN | 10/4/2006 | (13,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 178607 | JPMSAF0049825 MADWAA00198477-78 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 229480 | 1CM597 | SLOAN G KAMENSTEIN | 10/4/2006 | (55,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 178608 | JPMSAF0049826 MADWAA00198479-80 | | |
| 229511 | 1CM913 | DAVID R KAMENSTEIN | 10/4/2006 | (50,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 178610 | JPMSAF0049828 MADWAA00198483-84 | | |
| 220884 | 1CM914 | CAROL KAMENSTEIN | 10/4/2006 | (50,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 178611 | JPMSAF0049829 MADWAA00198485-86 | | |
| 252397 | 1CM597 | SLOAN G KAMENSTEIN | 10/5/2006 | (350,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 179101 | JPMSAF0050313 MADWAA00199443-44 | | |
| 245460 | 1CM596 | TRACY D KAMENSTEIN | 11/7/2006 | (39,400) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 179601 | JPMSAF0050789 MADWAA00213134-35 | | |
| 225671 | 1CM597 | SLOAN G KAMENSTEIN | 11/7/2006 | (26,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 179602 | JPMSAF0050790 MADWAA00213136-37 | | |
| 312924 | 1CM913 | DAVID R KAMENSTEIN | 11/7/2006 | (77,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 179604 | JPMSAF0050792 MADWAA00213140-41 | | |
| 45542 | 1CM914 | CAROL KAMENSTEIN | 11/7/2006 | (77,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 179605 | JPMSAF0050793 MADWAA00213142-43 | | |
| 281572 | 1CM596 | TRACY D KAMENSTEIN | 12/6/2006 | (21,300) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 180126 | JPMSAF0051607 JPMSAF0051608 MADWAA00206530-31 | | |
| 23129 | 1CM597 | SLOAN G KAMENSTEIN | 12/6/2006 | (264,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 180127 | JPMSAF0051609 JPMSAF0051610 MADWAA00206532-33 | | |
| 229952 | 1CM913 | DAVID R KAMENSTEIN | 12/6/2006 | (49,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 180129 | JPMSAF0051613 JPMSAF0051614 MADWAA00206536-37 | | |
| 225543 | 1CM914 | CAROL KAMENSTEIN | 12/6/2006 | (49,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 180130 | JPMSAF0051615 JPMSAF0051616 MADWAA00206538-39 | | |
| 222541 | 1CM596 | TRACY D KAMENSTEIN | 1/3/2007 | (47,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 181359 | JPMSAF0052925 MADWAA00196316-17 | | |
| 309821 | 1CM597 | SLOAN G KAMENSTEIN | 1/3/2007 | (29,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 181360 | JPMSAF0052926 MADWAA00196318-19 | | |
| 156133 | 1CM913 | DAVID R KAMENSTEIN | 1/3/2007 | (70,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 181363 | JPMSAF0052929 MADWAA00196324-25 | | |
| 172728 | 1CM914 | CAROL KAMENSTEIN | 1/3/2007 | (70,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 181364 | JPMSAF0052930 MADWAA00196326-27 | | |
| 276084 | 1CM596 | TRACY D KAMENSTEIN | 3/7/2007 | (56,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 182931 | JPMSAF0054282 MADWAA00203644-45 | | |
| 256734 | 1CM597 | SLOAN G KAMENSTEIN | 3/7/2007 | (36,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 182932 | JPMSAF0054283 MADWAA00203646-47 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 234193 | 1CM913 | DAVID R KAMENSTEIN | 3/7/2007 | (66,400) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 182934 | JPMSAF0054285 MADWAA00203650-51 | | |
| 256373 | 1CM914 | CAROL KAMENSTEIN | 3/7/2007 | (66,400) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 182935 | JPMSAF0054286 MADWAA00203652-53 | | |
| 234866 | 1CM596 | TRACY D KAMENSTEIN | 5/2/2007 | (27,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 185259 | JPMSAF0056523 MADWAA00279360-61 | | |
| 247673 | 1CM597 | SLOAN G KAMENSTEIN | 5/2/2007 | (45,300) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 185260 | JPMSAF0056524 MADWAA00279362-63 | | |
| 309204 | 1CM913 | DAVID R KAMENSTEIN | 5/2/2007 | (42,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 185262 | JPMSAF0056526 MADWAA00279366-67 | | |
| 214070 | 1CM914 | CAROL KAMENSTEIN | 5/2/2007 | (42,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 185263 | JPMSAF0056527 MADWAA00279368-69 | | |
| 234067 | 1CM596 | TRACY D KAMENSTEIN | 6/6/2007 | (46,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 185876 | JPMSAF0057099 MADWAA00278256-57 | | |
| 97839 | 1CM597 | SLOAN G KAMENSTEIN | 6/6/2007 | (150,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 185877 | JPMSAF0057100 MADWAA00278258-59 | | |
| 285094 | 1CM913 | DAVID R KAMENSTEIN | 6/6/2007 | (60,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 185878 | JPMSAF0057101 MADWAA00278260-61 | | |
| 303452 | 1CM914 | CAROL KAMENSTEIN | 6/6/2007 | (60,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 185879 | JPMSAF0057102 MADWAA00278262-63 | | |
| 221167 | 1CM913 | DAVID R KAMENSTEIN | 6/15/2007 | 13,600 | CA | CHECK | Deposit | Check | Yes | 703 Account | 17381 | JPMSAI0011453 JPMSAI0011455 | 43092 | 17826 |
| 148721 | 1CM914 | CAROL KAMENSTEIN | 6/15/2007 | 13,600 | CA | CHECK | Deposit | Check | Yes | 703 Account | 17382 | JPMSAI0011453 JPMSAI0011456 | 43092 | 17825 |
| 79733 | 1CM597 | SLOAN G KAMENSTEIN | 6/20/2007 | 8,000 | CA | CHECK | Deposit | Check | Yes | 703 Account | 1538 | JPMSAI0011481 JPMSAI0011482 | 43147 | 17848 |
| 248925 | 1CM596 | TRACY D KAMENSTEIN | 7/3/2007 | (20,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 186948 | JPMSAF0058132 MADWAA00274686-87 | | |
| 250169 | 1CM597 | SLOAN G KAMENSTEIN | 7/3/2007 | (11,600) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 186949 | JPMSAF0058133 MADWAA00274688-89 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 246810 | 1CM913 | DAVID R KAMENSTEIN | 7/3/2007 | (32,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 186951 | JPMSAF0058135 MADWAA00274692-93 | | |
| 293661 | 1CM914 | CAROL KAMENSTEIN | 7/3/2007 | (32,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 186952 | JPMSAF0058136 MADWAA00274694-95 | | |
| 271724 | 1CM596 | TRACY D KAMENSTEIN | 8/2/2007 | (36,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 187992 | JPMSAF0059110 MADWAA00279870-71 | | |
| 213325 | 1CM597 | SLOAN G KAMENSTEIN | 8/2/2007 | (18,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 187993 | JPMSAF0059111 MADWAA00279872-73 | | |
| 184286 | 1CM913 | DAVID R KAMENSTEIN | 8/2/2007 | (50,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 187997 | JPMSAF0059115 MADWAA00279880-81 | | |
| 138711 | 1CM914 | CAROL KAMENSTEIN | 8/2/2007 | (50,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 187998 | JPMSAF0059116 MADWAA00279882-83 | | |
| 61917 | 1CM596 | TRACY D KAMENSTEIN | 9/5/2007 | (45,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 188575 | JPMSAF0059640 MADWAA00276200-01 | | |
| 268833 | 1CM597 | SLOAN G KAMENSTEIN | 9/5/2007 | (25,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 188576 | JPMSAF0059641 MADWAA00276202-03 | | |
| 234420 | 1CM913 | DAVID R KAMENSTEIN | 9/5/2007 | (61,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 188579 | JPMSAF0059644 MADWAA00276208-09 | | |
| 268862 | 1CM914 | CAROL KAMENSTEIN | 9/5/2007 | (61,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 188580 | JPMSAF0059645 MADWAA00276210-11 | | |
| 254746 | 1CM596 | TRACY D KAMENSTEIN | 10/2/2007 | (12,600) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 189655 | JPMSAF0060690 MADWAA00264174-75 | | |
| 176143 | 1CM597 | SLOAN G KAMENSTEIN | 10/2/2007 | (7,100) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 189656 | JPMSAF0060691 MADWAA00264176-77 | | |
| 205069 | 1CM913 | DAVID R KAMENSTEIN | 10/2/2007 | (21,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 189657 | JPMSAF0060692 MADWAA00264178-79 | | |
| 234742 | 1CM914 | CAROL KAMENSTEIN | 10/2/2007 | (21,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 189658 | JPMSAF0060693 MADWAA00264180-81 | | |
| 12688 | 1CM596 | TRACY D KAMENSTEIN | 11/2/2007 | (39,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 190686 | JPMSAF0061668 MADWAA00261939-40 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 12695 | 1CM597 | SLOAN G KAMENSTEIN | 11/2/2007 | (22,400) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 190687 | JPMSAF0061669 MADWAA00261941-42 | | |
| 261248 | 1CM913 | DAVID R KAMENSTEIN | 11/2/2007 | (52,900) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 190690 | JPMSAF0061672 MADWAA00261947-48 | | |
| 11895 | 1CM914 | CAROL KAMENSTEIN | 11/2/2007 | (52,900) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 190691 | JPMSAF0061673 MADWAA00261949-50 | | |
| 270738 | 1CM913 | DAVID R KAMENSTEIN | 11/21/2007 | 100,000 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 45170 | |
| 12713 | 1CM914 | CAROL KAMENSTEIN | 11/21/2007 | 100,000 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 45169 | |
| 9982 | 1CM596 | TRACY D KAMENSTEIN | 1/3/2008 | (23,400) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 192515 | JPMSAF0063486 MADWAA00288459-60 | | |
| 190243 | 1CM597 | SLOAN G KAMENSTEIN | 1/3/2008 | (13,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 192516 | JPMSAF0063487 MADWAA00288461-62 | | |
| 249632 | 1CM913 | DAVID R KAMENSTEIN | 1/3/2008 | (35,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 192521 | JPMSAF0063491 MADWAA00288469-70 | | |
| 217641 | 1CM914 | CAROL KAMENSTEIN | 1/3/2008 | (35,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 192522 | JPMSAF0063492 MADWAA00288471-72 | | |
| 175913 | 1CM596 | TRACY D KAMENSTEIN | 2/7/2008 | (38,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 193735 | JPMSAF0064657 MADWAA00287698-99 | | |
| 283502 | 1CM597 | SLOAN G KAMENSTEIN | 2/7/2008 | (22,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 193736 | JPMSAF0064658 MADWAA00287700-01 | | |
| 9345 | 1CM913 | DAVID R KAMENSTEIN | 2/7/2008 | (53,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 193738 | JPMSAF0064660 MADWAA00287702-03 | | |
| 60202 | 1CM914 | CAROL KAMENSTEIN | 2/7/2008 | (53,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 193739 | JPMSAF0064661 MADWAA00287704-05 | | |
| 255889 | 1CM596 | TRACY D KAMENSTEIN | 3/4/2008 | (28,400) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 194164 | JPMSAF0065058 | | |
| 67781 | 1CM597 | SLOAN G KAMENSTEIN | 3/4/2008 | (16,600) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 194165 | JPMSAF0065059 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 102821 | 1CM913 | DAVID R KAMENSTEIN | 3/4/2008 | (43,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 194166 | JPMSAF0065060 | | |
| 295224 | 1CM914 | CAROL KAMENSTEIN | 3/4/2008 | (43,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 194167 | JPMSAF0065061 | | |
| 235006 | 1CM596 | TRACY D KAMENSTEIN | 4/3/2008 | (17,600) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 195248 | JPMSAF0066108 | | |
| 250139 | 1CM597 | SLOAN G KAMENSTEIN | 4/3/2008 | (9,900) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 195249 | JPMSAF0066109 | | |
| 244785 | 1CM913 | DAVID R KAMENSTEIN | 4/3/2008 | (27,300) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 195251 | JPMSAF0066111 | | |
| 254766 | 1CM914 | CAROL KAMENSTEIN | 4/3/2008 | (27,300) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 195252 | JPMSAF0066112 | | |
| 185158 | 1CM596 | TRACY D KAMENSTEIN | 5/6/2008 | (24,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 196336 | JPMSAF0067180 MADWAA00293176-77 | | |
| 261809 | 1CM597 | SLOAN G KAMENSTEIN | 5/6/2008 | (14,400) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 196337 | JPMSAF0067181 MADWAA00293178-79 | | |
| 259120 | 1CM913 | DAVID R KAMENSTEIN | 5/6/2008 | (33,900) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 196338 | JPMSAF0067182 MADWAA00293180-81 | | |
| 166712 | 1CM914 | CAROL KAMENSTEIN | 5/6/2008 | (33,900) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 196339 | JPMSAF0067183 MADWAA00293182-83 | | |
| 289716 | 1CM596 | TRACY D KAMENSTEIN | 6/3/2008 | (48,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 196877 | JPMSAF0067708 MADWAA00295762-63 | | |
| 158047 | 1CM597 | SLOAN G KAMENSTEIN | 6/3/2008 | (25,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 196878 | JPMSAF0067709 MADWAA00295764-65 | | |
| 256133 | 1CM913 | DAVID R KAMENSTEIN | 6/3/2008 | (60,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 196885 | JPMSAF0067715 JPMSAF0067716 MADWAA00295776-77 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 286006 | 1CM914 | CAROL KAMENSTEIN | 6/3/2008 | (60,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 196886 | JPMSAF0067717 MADWAA00295778-79 | | |
| 272504 | 1CM596 | TRACY D KAMENSTEIN | 6/13/2008 | 100,000 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 48269 | |
| 281376 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2008 | 5,000 | CA | CHECK WIRE | Deposit | Wire | Yes | 703 Account | | | 48271 | |
| 249609 | 1CM596 | TRACY D KAMENSTEIN | 7/2/2008 | (14,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 197972 | JPMSAF0068766 MADWAA00287108-09 | | |
| 35181 | 1CM597 | SLOAN G KAMENSTEIN | 7/2/2008 | (10,400) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 197973 | JPMSAF0068767 MADWAA00287110-11 | | |
| 308190 | 1CM913 | DAVID R KAMENSTEIN | 7/2/2008 | (28,100) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 197975 | JPMSAF0068769 MADWAA00287114-15 | | |
| 290534 | 1CM914 | CAROL KAMENSTEIN | 7/2/2008 | (28,100) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 197976 | JPMSAF0068770 MADWAA00287116-17 | | |
| 281425 | 1CM596 | TRACY D KAMENSTEIN | 8/5/2008 | (25,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 198969 | JPMSAF0069717 | | |
| 230100 | 1CM597 | SLOAN G KAMENSTEIN | 8/5/2008 | (14,300) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 198970 | JPMSAF0069718 MADWAA00300324-25 | | |
| 237052 | 1CM913 | DAVID R KAMENSTEIN | 8/5/2008 | (33,900) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 198971 | JPMSAF0069719 MADWAA00300326-27 | | |
| 189392 | 1CM914 | CAROL KAMENSTEIN | 8/5/2008 | (33,900) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 198972 | JPMSAF0069720 MADWAA00300328-29 | | |
| 301839 | 1CM596 | TRACY D KAMENSTEIN | 9/5/2008 | (36,700) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 199451 | JPMSAF0070167 MADWAA00302179-80 | | |
| 46282 | 1CM597 | SLOAN G KAMENSTEIN | 9/5/2008 | (20,900) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 199452 | JPMSAF0070168 MADWAA00302181-82 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | | BLMIS Bank Account Data | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 46253 | 1CM913 | DAVID R KAMENSTEIN | 9/5/2008 | (48,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 199454 | JPMSAF0070170 MADWAA00302185-86 | | |
| 254964 | 1CM914 | CAROL KAMENSTEIN | 9/5/2008 | (48,800) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 199455 | JPMSAF0070171 MADWAA00302187-88 | | |
| 140382 | 1CM596 | TRACY D KAMENSTEIN | 10/2/2008 | (10,500) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 200530 | JPMSAF0071213 MADWAA00304349-50 | | |
| 223284 | 1CM597 | SLOAN G KAMENSTEIN | 10/2/2008 | (8,300) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 200531 | JPMSAF0071214 MADWAA00304351-52 | | |
| 147398 | 1CM913 | DAVID R KAMENSTEIN | 10/2/2008 | (20,600) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 200533 | JPMSAF0071216 MADWAA00304355-56 | | |
| 98348 | 1CM914 | CAROL KAMENSTEIN | 10/2/2008 | (20,600) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 200534 | JPMSAF0071217 MADWAA00304357-58 | | |
| 179632 | 1CM596 | TRACY D KAMENSTEIN | 11/4/2008 | (24,000) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 201608 | JPMSAF0072251 MADWAA00285367-68 | | |
| 251712 | 1CM597 | SLOAN G KAMENSTEIN | 11/4/2008 | (13,600) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 201609 | JPMSAF0072252 MADWAA00285369-70 | | |
| 42576 | 1CM913 | DAVID R KAMENSTEIN | 11/4/2008 | (33,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 201611 | JPMSAF0072254 MADWAA00285373-74 | | |
| 4842 | 1CM914 | CAROL KAMENSTEIN | 11/4/2008 | (33,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 201612 | JPMSAF0072255 MADWAA00285375-76 | | |
| 257795 | 1CM596 | TRACY D KAMENSTEIN | 12/2/2008 | (22,200) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 202071 | JPMSAF0072672 MADWAA00303801-02 | | |
| 275673 | 1CM597 | SLOAN G KAMENSTEIN | 12/2/2008 | (13,300) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 202072 | JPMSAF0072673 MADWAA00303803-04 | | |
| 264722 | 1CM913 | DAVID R KAMENSTEIN | 12/2/2008 | (31,300) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 202076 | JPMSAF0072676 MADWAA00303809-10 | | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | | | BLMIS Bank Account Data | | | | | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|-----------------------|---------------|----------------------------------|-----------------------------------|--------------|---|-----------------------|----------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Deposit or Withdrawal | Check Or Wire | Reconciled to BLMIS Bank Records | BLMIS Bank Account ^[2] | Check Number | Check / Deposit Slip Bates Reference(s) | 703 ID ^[2] | Multiple ID ^[2] |
| 275236 | 1CM914 | CAROL KAMENSTEIN | 12/2/2008 | (31,300) | CW | CHECK | Withdrawal | Check | Yes | 509 Account | 202077 | JPMSAF0072677 MADWAA00303811-12 | | |

^[1] The information contained in these columns was obtained from the BLMIS customer statements.

^[2] The results of my analysis of the activity in the 703 Account and the 509 Account are set forth in Excel spreadsheets titled "JPMC 703 Account Activity - December 1998 to December 2008" and "JPMC 509 Account Activity - December 1998 to December 2008," which are attached as **Exhibits 4** and **5**, respectively, to the Collura January 2019 Report.

^[3] For withdrawals via checks, the banking institution, account number and account holder are based on information contained on the back of the cancelled check, including the endorser of the check.

^[4] These cash withdrawals via wire transfer are part of a larger amount wired from the 703 Account (\$1,786,761) on 4/7/2000. *See* MADWAA00038622; *see also* AMF00250579.

^[5] The BLMIS bank records refer to the banking institution as Wachovia NA. Wachovia Corporation was acquired by Wells Fargo & Company in 2008. *See First Union is Now Wells Fargo*, WELLS FARGO, <https://www.wellsfargo.com/about/corporate/firstunion> (last visited June 10, 2019).

^[6] Based on documents included in BLMIS customer files, the "CKDK CAP account" is account #xxxxxx5822 at First Union Bank (which merged with Wachovia in 2001) held by defendants David and Carol Kamenstein. *See* AMF00250579 - 80. In addition, correspondence produced by the Kamenstein Defendants related to the four cash withdrawals from the Kamenstein Accounts dated 12/6/2006 (prior to the Two Year Period) also instructs to "[p]ut all in the CKDK CAP account" and the cancelled checks from BLMIS records related to these cash withdrawals are endorsed "FOR DEPOSIT ONLY" to account #xxxxxx5822. *See* 10-04469_Kamenstein_0000205 - 06; MADWAA00206530 - 33; MADWAA00206536 - 39. As of the date of this report, bank records related to the CKDK CAP account (#xxxxxx5822) were not available for my review.

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|---|---|------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 100813 | 1CM596 | TRACY D KAMENSTEIN | 1/6/2000 | (250,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 257408 | 1CM596 | TRACY D KAMENSTEIN | 4/7/2000 | (298,547) | CW | CHECK WIRE | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 282281 | 1CM597 | SLOAN G KAMENSTEIN | 4/7/2000 | (279,486) | CW | CHECK WIRE | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 265294 | 1CM596 | TRACY D KAMENSTEIN | 9/5/2000 | 65,801 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 168802 | 1CM597 | SLOAN G KAMENSTEIN | 9/5/2000 | 77,716 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 69023 | 1CM596 | TRACY D KAMENSTEIN | 10/20/2000 | 5,872 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 258896 | 1CM597 | SLOAN G KAMENSTEIN | 10/20/2000 | 12,921 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 224923 | 1CM596 | TRACY D KAMENSTEIN | 11/14/2001 | (87,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 245048 | 1CM597 | SLOAN G KAMENSTEIN | 11/14/2001 | (87,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 219136 | 1CM596 | TRACY D KAMENSTEIN | 4/10/2002 | (61,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 284309 | 1CM597 | SLOAN G KAMENSTEIN | 4/10/2002 | (264,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 107 | 1CM596 | TRACY D KAMENSTEIN | 1/2/2003 | (75,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 82843 | 1CM597 | SLOAN G KAMENSTEIN | 1/2/2003 | (75,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 236862 | 1CM596 | TRACY D KAMENSTEIN | 6/13/2003 | (60,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 192967 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2003 | (60,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 234359 | 1CM596 | TRACY D KAMENSTEIN | 8/11/2003 | (325,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 260599 | 1CM597 | SLOAN G KAMENSTEIN | 8/11/2003 | (325,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 260582 | 1CM596 | TRACY D KAMENSTEIN | 8/18/2003 | 25,000 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 91082 | 1CM597 | SLOAN G KAMENSTEIN | 8/18/2003 | 25,000 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 67736 | 1CM596 | TRACY D KAMENSTEIN | 1/5/2004 | (50,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|---|---|------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 67744 | 1CM597 | SLOAN G KAMENSTEIN | 1/5/2004 | (50,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 288991 | 1CM596 | TRACY D KAMENSTEIN | 4/2/2004 | (62,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 230885 | 1CM597 | SLOAN G KAMENSTEIN | 4/2/2004 | (62,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 143805 | 1CM596 | TRACY D KAMENSTEIN | 7/2/2004 | (45,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 71265 | 1CM597 | SLOAN G KAMENSTEIN | 7/2/2004 | (176,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 229232 | 1CM596 | TRACY D KAMENSTEIN | 9/7/2004 | (25,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 101127 | 1CM597 | SLOAN G KAMENSTEIN | 9/7/2004 | (25,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 5599 | 1CM596 | TRACY D KAMENSTEIN | 9/22/2004 | (62,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 175903 | 1CM597 | SLOAN G KAMENSTEIN | 9/22/2004 | (62,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 74612 | 1CM596 | TRACY D KAMENSTEIN | 11/23/2004 | (17,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 164442 | 1CM597 | SLOAN G KAMENSTEIN | 11/23/2004 | (17,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 299525 | 1CM596 | TRACY D KAMENSTEIN | 12/3/2004 | (17,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 267885 | 1CM597 | SLOAN G KAMENSTEIN | 12/3/2004 | (17,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 149413 | 1CM596 | TRACY D KAMENSTEIN | 1/4/2005 | (47,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 262070 | 1CM597 | SLOAN G KAMENSTEIN | 1/4/2005 | (47,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 106201 | 1CM913 | DAVID R KAMENSTEIN | 1/4/2005 | (97,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 272658 | 1CM914 | CAROL KAMENSTEIN | 1/4/2005 | (97,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 87403 | 1CM596 | TRACY D KAMENSTEIN | 3/21/2005 | (52,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 173576 | 1CM596 | TRACY D KAMENSTEIN | 3/21/2005 | 777,414 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 141095 | 1CM597 | SLOAN G KAMENSTEIN | 3/21/2005 | (52,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|---|---|------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 260533 | 1CM913 | DAVID R KAMENSTEIN | 3/21/2005 | (105,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 173591 | 1CM914 | CAROL KAMENSTEIN | 3/21/2005 | (105,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 236193 | 1CM596 | TRACY D KAMENSTEIN | 5/13/2005 | (25,760) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 244266 | 1CM597 | SLOAN G KAMENSTEIN | 5/13/2005 | (127,760) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 256804 | 1CM913 | DAVID R KAMENSTEIN | 5/13/2005 | (54,740) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 184045 | 1CM914 | CAROL KAMENSTEIN | 5/13/2005 | (54,740) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 240631 | 1CM597 | SLOAN G KAMENSTEIN | 6/2/2005 | 600,000 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 187325 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2005 | (22,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 267759 | 1CM596 | TRACY D KAMENSTEIN | 6/15/2005 | (22,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 254322 | 1CM913 | DAVID R KAMENSTEIN | 6/15/2005 | (47,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 187372 | 1CM914 | CAROL KAMENSTEIN | 6/15/2005 | (47,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 233628 | 1CM597 | SLOAN G KAMENSTEIN | 7/8/2005 | 700,000 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 302529 | 1CM596 | TRACY D KAMENSTEIN | 7/12/2005 | (26,700) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 281910 | 1CM597 | SLOAN G KAMENSTEIN | 7/12/2005 | (26,700) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 117375 | 1CM913 | DAVID R KAMENSTEIN | 7/12/2005 | (56,800) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 281978 | 1CM914 | CAROL KAMENSTEIN | 7/12/2005 | (56,800) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 233497 | 1CM596 | TRACY D KAMENSTEIN | 9/1/2005 | (67,600) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 302550 | 1CM597 | SLOAN G KAMENSTEIN | 9/1/2005 | (136,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 279124 | 1CM913 | DAVID R KAMENSTEIN | 9/1/2005 | (75,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|---|---|------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 74691 | 1CM914 | CAROL KAMENSTEIN | 9/1/2005 | (75,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 284070 | 1CM596 | TRACY D KAMENSTEIN | 9/30/2005 | 20,600 | CA | CHECK | n/a - deposit | | | n/a - deposit | |
| 305271 | 1CM596 | TRACY D KAMENSTEIN | 10/21/2005 | (37,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 259567 | 1CM597 | SLOAN G KAMENSTEIN | 10/21/2005 | (92,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 76928 | 1CM913 | DAVID R KAMENSTEIN | 10/21/2005 | (77,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 260904 | 1CM914 | CAROL KAMENSTEIN | 10/21/2005 | (77,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 212937 | 1CM597 | SLOAN G KAMENSTEIN | 11/14/2005 | (200,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 220163 | 1CM596 | TRACY D KAMENSTEIN | 11/25/2005 | (20,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 220174 | 1CM597 | SLOAN G KAMENSTEIN | 11/25/2005 | (20,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 291720 | 1CM913 | DAVID R KAMENSTEIN | 11/25/2005 | (42,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 141687 | 1CM914 | CAROL KAMENSTEIN | 11/25/2005 | (42,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 273266 | 1CM597 | SLOAN G KAMENSTEIN | 12/9/2005 | (350,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 279845 | 1CM596 | TRACY D KAMENSTEIN | 1/4/2006 | (36,800) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 13070 | 1CM597 | SLOAN G KAMENSTEIN | 1/4/2006 | (58,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 312824 | 1CM913 | DAVID R KAMENSTEIN | 1/4/2006 | (48,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 25832 | 1CM914 | CAROL KAMENSTEIN | 1/4/2006 | (48,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 279602 | 1CM596 | TRACY D KAMENSTEIN | 3/2/2006 | (61,200) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 16036 | 1CM597 | SLOAN G KAMENSTEIN | 3/2/2006 | (55,700) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 312050 | 1CM913 | DAVID R KAMENSTEIN | 3/6/2006 | (80,800) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 30253 | 1CM914 | CAROL KAMENSTEIN | 3/6/2006 | (80,800) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 169567 | 1CM596 | TRACY D KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 237888 | 1CM597 | SLOAN G KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|---|---|------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 221734 | 1CM913 | DAVID R KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 165777 | 1CM914 | CAROL KAMENSTEIN | 4/4/2006 | (250,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 262581 | 1CM596 | TRACY D KAMENSTEIN | 5/3/2006 | (84,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 85708 | 1CM597 | SLOAN G KAMENSTEIN | 5/3/2006 | (149,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 22439 | 1CM913 | DAVID R KAMENSTEIN | 5/3/2006 | (129,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 4187 | 1CM914 | CAROL KAMENSTEIN | 5/3/2006 | (129,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 271846 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2006 | (155,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 127980 | 1CM596 | TRACY D KAMENSTEIN | 6/15/2006 | 87,747 | CA | CHECK | n/a - deposit | | | n/a - deposit | |
| 34461 | 1CM596 | TRACY D KAMENSTEIN | 7/5/2006 | (45,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 86342 | 1CM597 | SLOAN G KAMENSTEIN | 7/5/2006 | (71,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 180161 | 1CM913 | DAVID R KAMENSTEIN | 7/5/2006 | (92,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 238492 | 1CM914 | CAROL KAMENSTEIN | 7/5/2006 | (92,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 197238 | 1CM597 | SLOAN G KAMENSTEIN | 8/3/2006 | 530,000 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 197222 | 1CM596 | TRACY D KAMENSTEIN | 8/8/2006 | (17,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 136752 | 1CM597 | SLOAN G KAMENSTEIN | 8/8/2006 | (79,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 136762 | 1CM913 | DAVID R KAMENSTEIN | 8/8/2006 | (39,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 282365 | 1CM914 | CAROL KAMENSTEIN | 8/8/2006 | (39,500) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 187029 | 1CM596 | TRACY D KAMENSTEIN | 9/7/2006 | (44,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 247865 | 1CM597 | SLOAN G KAMENSTEIN | 9/7/2006 | (67,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 186778 | 1CM913 | DAVID R KAMENSTEIN | 9/7/2006 | (98,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 114907 | 1CM914 | CAROL KAMENSTEIN | 9/7/2006 | (98,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 186395 | 1CM596 | TRACY D KAMENSTEIN | 10/4/2006 | (13,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|--|---|--------------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 229480 | 1CM597 | SLOAN G KAMENSTEIN | 10/4/2006 | (55,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 229511 | 1CM913 | DAVID R KAMENSTEIN | 10/4/2006 | (50,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 220884 | 1CM914 | CAROL KAMENSTEIN | 10/4/2006 | (50,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 252397 | 1CM597 | SLOAN G KAMENSTEIN | 10/5/2006 | (350,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 245460 | 1CM596 | TRACY D KAMENSTEIN | 11/7/2006 | (39,400) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 225671 | 1CM597 | SLOAN G KAMENSTEIN | 11/7/2006 | (26,200) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 312924 | 1CM913 | DAVID R KAMENSTEIN | 11/7/2006 | (77,200) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 45542 | 1CM914 | CAROL KAMENSTEIN | 11/7/2006 | (77,200) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 281572 | 1CM596 | TRACY D KAMENSTEIN | 12/6/2006 | (21,300) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 23129 | 1CM597 | SLOAN G KAMENSTEIN | 12/6/2006 | (264,000) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 229952 | 1CM913 | DAVID R KAMENSTEIN | 12/6/2006 | (49,200) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 225543 | 1CM914 | CAROL KAMENSTEIN | 12/6/2006 | (49,200) | CW | CHECK | n/a - prior to Two Year Period | | | n/a - prior to Two Year Period | |
| 222541 | 1CM596 | TRACY D KAMENSTEIN | 1/3/2007 | (47,500) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000117-18 |
| 309821 | 1CM597 | SLOAN G KAMENSTEIN | 1/3/2007 | (29,500) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000117-18 |
| 156133 | 1CM913 | DAVID R KAMENSTEIN | 1/3/2007 | (70,500) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000117-18 |
| 172728 | 1CM914 | CAROL KAMENSTEIN | 1/3/2007 | (70,500) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000117-18 |
| 276084 | 1CM596 | TRACY D KAMENSTEIN | 3/7/2007 | (56,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000125-26 |
| 256734 | 1CM597 | SLOAN G KAMENSTEIN | 3/7/2007 | (36,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000125-26 |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|--|---|--------------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 234193 | 1CM913 | DAVID R KAMENSTEIN | 3/7/2007 | (66,400) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000125-26 |
| 256373 | 1CM914 | CAROL KAMENSTEIN | 3/7/2007 | (66,400) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000125-26 |
| 234866 | 1CM596 | TRACY D KAMENSTEIN | 5/2/2007 | (27,800) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000133-34 |
| 247673 | 1CM597 | SLOAN G KAMENSTEIN | 5/2/2007 | (45,300) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000133-34 |
| 309204 | 1CM913 | DAVID R KAMENSTEIN | 5/2/2007 | (42,700) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000133-34 |
| 214070 | 1CM914 | CAROL KAMENSTEIN | 5/2/2007 | (42,700) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000133-34 |
| 234067 | 1CM596 | TRACY D KAMENSTEIN | 6/6/2007 | (46,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000139-40 |
| 97839 | 1CM597 | SLOAN G KAMENSTEIN | 6/6/2007 | (150,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000139-40 |
| 285094 | 1CM913 | DAVID R KAMENSTEIN | 6/6/2007 | (60,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000139-40 |
| 303452 | 1CM914 | CAROL KAMENSTEIN | 6/6/2007 | (60,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000139-40 |
| 221167 | 1CM913 | DAVID R KAMENSTEIN | 6/15/2007 | 13,600 | CA | CHECK | n/a - deposit | | | n/a - deposit | |
| 148721 | 1CM914 | CAROL KAMENSTEIN | 6/15/2007 | 13,600 | CA | CHECK | n/a - deposit | | | n/a - deposit | |
| 79733 | 1CM597 | SLOAN G KAMENSTEIN | 6/20/2007 | 8,000 | CA | CHECK | n/a - deposit | | | n/a - deposit | |
| 248925 | 1CM596 | TRACY D KAMENSTEIN | 7/3/2007 | (20,800) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000147-48 |
| 250169 | 1CM597 | SLOAN G KAMENSTEIN | 7/3/2007 | (11,600) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000147-48 |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|--|---|--------------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 246810 | 1CM913 | DAVID R KAMENSTEIN | 7/3/2007 | (32,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000147-48 |
| 293661 | 1CM914 | CAROL KAMENSTEIN | 7/3/2007 | (32,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000147-48 |
| 271724 | 1CM596 | TRACY D KAMENSTEIN | 8/2/2007 | (36,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000151-52 |
| 213325 | 1CM597 | SLOAN G KAMENSTEIN | 8/2/2007 | (18,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000151-52 |
| 184286 | 1CM913 | DAVID R KAMENSTEIN | 8/2/2007 | (50,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000151-52 |
| 138711 | 1CM914 | CAROL KAMENSTEIN | 8/2/2007 | (50,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000151-52 |
| 61917 | 1CM596 | TRACY D KAMENSTEIN | 9/5/2007 | (45,700) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000160-61 |
| 268833 | 1CM597 | SLOAN G KAMENSTEIN | 9/5/2007 | (25,800) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000160-61 |
| 234420 | 1CM913 | DAVID R KAMENSTEIN | 9/5/2007 | (61,500) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000160-61 |
| 268862 | 1CM914 | CAROL KAMENSTEIN | 9/5/2007 | (61,500) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000160-61 |
| 254746 | 1CM596 | TRACY D KAMENSTEIN | 10/2/2007 | (12,600) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000162-63 |
| 176143 | 1CM597 | SLOAN G KAMENSTEIN | 10/2/2007 | (7,100) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000162-63 |
| 205069 | 1CM913 | DAVID R KAMENSTEIN | 10/2/2007 | (21,800) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000162-63 |
| 234742 | 1CM914 | CAROL KAMENSTEIN | 10/2/2007 | (21,800) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000162-63 |
| 12688 | 1CM596 | TRACY D KAMENSTEIN | 11/2/2007 | (39,700) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000166-67 |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|--|---|--------------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 12695 | 1CM597 | SLOAN G KAMENSTEIN | 11/2/2007 | (22,400) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000166-67 |
| 261248 | 1CM913 | DAVID R KAMENSTEIN | 11/2/2007 | (52,900) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000166-67 |
| 11895 | 1CM914 | CAROL KAMENSTEIN | 11/2/2007 | (52,900) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000166-67 |
| 270738 | 1CM913 | DAVID R KAMENSTEIN | 11/21/2007 | 100,000 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 12713 | 1CM914 | CAROL KAMENSTEIN | 11/21/2007 | 100,000 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 9982 | 1CM596 | TRACY D KAMENSTEIN | 1/3/2008 | (23,400) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000119-20 |
| 190243 | 1CM597 | SLOAN G KAMENSTEIN | 1/3/2008 | (13,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000119-20 |
| 249632 | 1CM913 | DAVID R KAMENSTEIN | 1/3/2008 | (35,700) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000119-20 |
| 217641 | 1CM914 | CAROL KAMENSTEIN | 1/3/2008 | (35,700) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000119-20 |
| 175913 | 1CM596 | TRACY D KAMENSTEIN | 2/7/2008 | (38,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000123-24 |
| 283502 | 1CM597 | SLOAN G KAMENSTEIN | 2/7/2008 | (22,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000123-24 |
| 9345 | 1CM913 | DAVID R KAMENSTEIN | 2/7/2008 | (53,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000123-24 |
| 60202 | 1CM914 | CAROL KAMENSTEIN | 2/7/2008 | (53,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000123-24 |
| 255889 | 1CM596 | TRACY D KAMENSTEIN | 3/4/2008 | (28,400) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000127-28 |
| 67781 | 1CM597 | SLOAN G KAMENSTEIN | 3/4/2008 | (16,600) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000127-28 |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|--|--|---|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 102821 | 1CM913 | DAVID R KAMENSTEIN | 3/4/2008 | (43,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000127-28 |
| 295224 | 1CM914 | CAROL KAMENSTEIN | 3/4/2008 | (43,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000127-28 |
| 235006 | 1CM596 | TRACY D KAMENSTEIN | 4/3/2008 | (17,600) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000131-32 |
| 250139 | 1CM597 | SLOAN G KAMENSTEIN | 4/3/2008 | (9,900) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000131-32 |
| 244785 | 1CM913 | DAVID R KAMENSTEIN | 4/3/2008 | (27,300) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000131-32 |
| 254766 | 1CM914 | CAROL KAMENSTEIN | 4/3/2008 | (27,300) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000131-32 |
| 185158 | 1CM596 | TRACY D KAMENSTEIN | 5/6/2008 | (24,800) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000135-36 |
| 261809 | 1CM597 | SLOAN G KAMENSTEIN | 5/6/2008 | (14,400) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000135-36 |
| 259120 | 1CM913 | DAVID R KAMENSTEIN | 5/6/2008 | (33,900) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000135-36 |
| 166712 | 1CM914 | CAROL KAMENSTEIN | 5/6/2008 | (33,900) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000135-36 |
| 289716 | 1CM596 | TRACY D KAMENSTEIN | 6/3/2008 | (48,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Take \$15,000 from Sloan's transfer and put it into his checking account for taxes which will be due June 15. Put all of the rest of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000141-42 See also 10-04469_WFB_0001289 |
| 158047 | 1CM597 | SLOAN G KAMENSTEIN | 6/3/2008 | (25,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Take \$15,000 from Sloan's transfer and put it into his checking account for taxes which will be due June 15. Put all of the rest of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000141-42 See also 10-04469_WFB_0001289 |
| 256133 | 1CM913 | DAVID R KAMENSTEIN | 6/3/2008 | (60,700) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Take \$15,000 from Sloan's transfer and put it into his checking account for taxes which will be due June 15. Put all of the rest of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000141-42 See also 10-04469_WFB_0001289 |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|--|---|--|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 286006 | 1CM914 | CAROL KAMENSTEIN | 6/3/2008 | (60,700) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Take \$15,000 from Sloan's transfer and put it into his checking account for taxes which will be due June 15. Put all of the rest of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000141-42 <i>See also</i> 10-04469_WFB_0001289 |
| 272504 | 1CM596 | TRACY D KAMENSTEIN | 6/13/2008 | 100,000 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 281376 | 1CM597 | SLOAN G KAMENSTEIN | 6/13/2008 | 5,000 | CA | CHECK WIRE | n/a - deposit | | | n/a - deposit | |
| 249609 | 1CM596 | TRACY D KAMENSTEIN | 7/2/2008 | (14,200) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | n/a - not available | n/a |
| 35181 | 1CM597 | SLOAN G KAMENSTEIN | 7/2/2008 | (10,400) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | n/a - not available | n/a |
| 308190 | 1CM913 | DAVID R KAMENSTEIN | 7/2/2008 | (28,100) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | n/a - not available | n/a |
| 290534 | 1CM914 | CAROL KAMENSTEIN | 7/2/2008 | (28,100) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | n/a - not available | n/a |
| 281425 | 1CM596 | TRACY D KAMENSTEIN | 8/5/2008 | (25,200) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000145-46 10-04469_Kamenstein_0000153-54 |
| 230100 | 1CM597 | SLOAN G KAMENSTEIN | 8/5/2008 | (14,300) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000145-46 10-04469_Kamenstein_0000153-54 |
| 237052 | 1CM913 | DAVID R KAMENSTEIN | 8/5/2008 | (33,900) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000145-46 10-04469_Kamenstein_0000153-54 |
| 189392 | 1CM914 | CAROL KAMENSTEIN | 8/5/2008 | (33,900) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000145-46 10-04469_Kamenstein_0000153-54 |
| 301839 | 1CM596 | TRACY D KAMENSTEIN | 9/5/2008 | (36,700) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all of the transfers in the CKDK CAP account, ^[6] except \$15,000 from Sloan's transfer. Please put that amount in his checking account to cover estimated taxes due September 15. | 10-04469_Kamenstein_0000158-59 <i>See also</i> 10-04469_WFB_0001299 |
| 46282 | 1CM597 | SLOAN G KAMENSTEIN | 9/5/2008 | (20,900) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all of the transfers in the CKDK CAP account, ^[6] except \$15,000 from Sloan's transfer. Please put that amount in his checking account to cover estimated taxes due September 15. | 10-04469_Kamenstein_0000158-59 <i>See also</i> 10-04469_WFB_0001299 |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | Tracing Results - per BLMIS Bank Records | | | Correspondence Produced by the Kamenstein Defendants | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|--|------------------------------------|--|---|---|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 46253 | 1CM913 | DAVID R KAMENSTEIN | 9/5/2008 | (48,800) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all of the transfers in the CKDK CAP account, ^[6] except \$15,000 from Sloan's transfer. Please put that amount in his checking account to cover estimated taxes due September 15. | 10-04469_Kamenstein_0000158-59 See also 10-04469_WFB_0001299 |
| 254964 | 1CM914 | CAROL KAMENSTEIN | 9/5/2008 | (48,800) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all of the transfers in the CKDK CAP account, ^[6] except \$15,000 from Sloan's transfer. Please put that amount in his checking account to cover estimated taxes due September 15. | 10-04469_Kamenstein_0000158-59 See also 10-04469_WFB_0001299 |
| 140382 | 1CM596 | TRACY D KAMENSTEIN | 10/2/2008 | (10,500) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000196-97 |
| 223284 | 1CM597 | SLOAN G KAMENSTEIN | 10/2/2008 | (8,300) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000196-97 |
| 147398 | 1CM913 | DAVID R KAMENSTEIN | 10/2/2008 | (20,600) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000196-97 |
| 98348 | 1CM914 | CAROL KAMENSTEIN | 10/2/2008 | (20,600) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000196-97 |
| 179632 | 1CM596 | TRACY D KAMENSTEIN | 11/4/2008 | (24,000) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000168-69 |
| 251712 | 1CM597 | SLOAN G KAMENSTEIN | 11/4/2008 | (13,600) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000168-69 |
| 42576 | 1CM913 | DAVID R KAMENSTEIN | 11/4/2008 | (33,200) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000168-69 |
| 4842 | 1CM914 | CAROL KAMENSTEIN | 11/4/2008 | (33,200) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000168-69 |
| 257795 | 1CM596 | TRACY D KAMENSTEIN | 12/2/2008 | (22,200) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Tracy D Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000172-73 |
| 275673 | 1CM597 | SLOAN G KAMENSTEIN | 12/2/2008 | (13,300) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Sloan G Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000172-73 |
| 264722 | 1CM913 | DAVID R KAMENSTEIN | 12/2/2008 | (31,300) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is David R Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000172-73 |

Reconciliation and Tracing Results - Kamenstein Accounts

| | | | | | | | <i>Tracing Results - per BLMIS Bank Records</i> | | | <i>Correspondence Produced by the Kamenstein Defendants</i> | |
|--------|----------------------|--------------------|---------------------------------|-----------------------|---------------------------|--|---|------------------------------------|--|---|--------------------------------|
| CM ID | BLMIS Account Number | BLMIS Account Name | Transaction Date ^[1] | Amount ^[1] | Trans Type ^[1] | Transaction Description ^[1] | Banking Institution ^[3] | Bank Account Number ^[3] | Potential Bank Account Holder(s) ^[3] | Instructions from Defendant David R. Kamenstein Regarding Cash Withdrawals from BLMIS | Bates References |
| 275236 | 1CM914 | CAROL KAMENSTEIN | 12/2/2008 | (31,300) | CW | CHECK | Wachovia NA ^[5] | Unknown | FOR DEPOSIT ONLY (check payee is Carol Kamenstein) | Put all of the transfers in the CKDK CAP account. ^[6] | 10-04469_Kamenstein_0000172-73 |

^[1] The information contained in these columns was obtained from the BLMIS customer statements.

^[2] The results of my analysis of the activity in the 703 Account and the 509 Account are set forth in Excel spreadsheets titled "JPMC 703 Account Activity - December 1998 to December 2008" and "JPMC 509 Account Activity - December 1998 to December 2008," which are attached as **Exhibits 4** and **5**, respectively, to the Collura January 2019 Report.

^[3] For withdrawals via checks, the banking institution, account number and account holder are based on information contained on the back of the cancelled check, including the endorser of the check.

^[4] These cash withdrawals via wire transfer are part of a larger amount wired from the 703 Account (\$1,786,761) on 4/7/2000. *See* MADWAA00038622; *see also* AMF00250579.

^[5] The BLMIS bank records refer to the banking institution as Wachovia NA. Wachovia Corporation was acquired by Wells Fargo & Company in 2008. *See First Union is Now Wells Fargo*, WELLS FARGO, <https://www.wellsfargo.com/about/corporate/firstunion> (last visited June 10, 2019).

^[6] Based on documents included in BLMIS customer files, the "CKDK CAP account" is account #xxxxxx5822 at First Union Bank (which merged with Wachovia in 2001) held by defendants David and Carol Kamenstein. *See* AMF00250579 - 80. In addition, correspondence produced by the Kamenstein Defendants related to the four cash withdrawals from the Kamenstein Accounts dated 12/6/2006 (prior to the Two Year Period) also instructs to "[p]ut all in the CKDK CAP account" and the cancelled checks from BLMIS records related to these cash withdrawals are endorsed "FOR DEPOSIT ONLY" to account #xxxxxx5822. *See* 10-04469_Kamenstein_0000205 - 06; MADWAA00206530 - 33; MADWAA00206536 - 39. As of the date of this report, bank records related to the CKDK CAP account (#xxxxxx5822) were not available for my review.